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Patent application No. Demande de brevet n° Patentanmeldung Nr.

03104746.7

Der Präsident des Europäischen Patentamts; Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

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Merocyanine derivatives for cosmetic use

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# Merocyanine derivatives for cosmetic use

The present invention relates to the use of merocyanine derivatives in protecting human and animal hair and skin from UV radiation and to cosmetic compositions comprising such compounds.

The compounds for use in accordance with the invention correspond to formula

(1) 
$$\begin{bmatrix} R_1 & N & C & R_3 \\ R_2 & R_4 \end{bmatrix}$$
, wherein

 $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; a cyano group; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

R<sub>4</sub> is a cyano group; or -Q<sub>1</sub>-R<sub>5</sub>;

Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>6</sub>-;

R<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R<sub>6</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R<sub>7</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m is from 3 to 7;

n is from 2 to 4;

the cyclohexene radical C is not substituted or substituted by one or more  $C_1$ - $C_5$ alkyl; if n = 2,

 $R_1$  is an alkylene- or cycloalkylene radical; or  $R_1$  and  $R_2$  simultaneously form an alkylene, cycloalkylene or phenylene radical; and

R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7-membered, monocyclic carbocyclic ring;

or, alternatively

R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and

R<sub>1</sub> simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the

nitrogen atom linking them form a - $(CH_2)_m$ - ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

if n = 3,

- R<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or -NR<sub>7</sub>-groups; and
- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7- membered, monocyclic carbocyclic ring;

or, alternatively

- R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and
- simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by –NR<sub>7</sub>-;

if n = 4,

- R<sub>1</sub> is a tetravalent alkyl group; and
- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7-membered, monocyclic carbocyclic ring;

or, alternatively

- R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and
- simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

in protecting human and animal hair and skin from UV radiation.

C<sub>1</sub>-C<sub>22</sub>Alkyl denotes a linear or branched, unsubstituted or substituted alkyl group such as, for example, methyl, ethyl, propyl, isopropyl, n-butyl, n-hexyl, cyclohexyl, n-decyl, n-dodecyl, n-octadecyl, eicosyl, methoxyethyl, ethoxypropyl, 2-ethylhexyl, hydroxyethyl, chloropropyl, N,N-diethylaminopropyl, cyanoethyl, phenethyl, benzyl, p-tert-butylphenethyl, p-tert-octyl-phenoxyethyl, 3-(2,4-di-tert-amylphenoxy)-propyl, ethoxycarbonylmethyl-2-(2-hydroxy-ethoxy)ethyl or 2-furylethyl.

C<sub>1</sub>-C<sub>6</sub>alkoxy denotes methoxy, ethoxy, n-propoxy, isopropoxy, n-butoxy, sec-butoxy, tert-butoxy, amyloxy, isoamyloxy or tert-amyloxy.

C<sub>6</sub>-C<sub>10</sub>aryl denotes, for example, phenyl, tolyl, anisyl, mesityl, chlorophenyl, 2,4-di-tert-amylphenyl and naphthyl.

Heterocyclic radicals contain one, two, three or four identical or different ring hetero atoms. Special preference is given to heterocycles which contain one, two or three, especially one or two, identical or different hetero atoms. The heterocycles may be mono- or poly-cyclic, for example mono-, bi- or tri-cyclic. They are preferably mono- or bi-cyclic, especially mono-cyclic. The rings preferably contain 5, 6 or 7 ring members. Examples of monocyclic and bicyclic heterocyclic systems from which radicals occurring in the compounds of formula (1) or (2) may be derived are, for example, pyrrole, furan, thiophene, imidazole, pyrazole, 1,2,3-triazole, 1,2,4-triazole, pyridine, pyridazine, pyrimidine, pyrazine, pyran, thiopyran, 1,4-dioxane, 1,2-oxazine, 1,3-oxazine, 1,4-oxazine, indole, benzothiophene, benzofuran, pyrrolidine, piperidine, piperazine, morpholine and thiomorpholine.

When R<sub>5</sub> and R<sub>6</sub> together form a 5- to 7-membered monocyclic carbocyclic or heterocyclic ring, such a ring is, for example, a 1,3-dioxocyclohexane ring such as, for example, a dimedone ring, a 1,3-dioxo-5,5-diethylcyclohexane ring, a 1,3-diaza-2,4,6-trioxocyclohexane ring such as, for example, a barbituric acid ring, a 1,3-dimethylbarbituric acid ring, a 1-phenylbarbituric acid ring, a 1-methyl-3-octylbarbituric acid ring, a 1-ethyl-3-octyloxy-carbonylethylbarbituric acid ring, a 1,2-diaza-3,5-dioxocyclopentane ring such as, for example, a 1,2-diaza-1,2-dimethyl-3,5-dioxocyclopentane ring, a 1,2-diaza-1,2-diphenyl-3,5-dioxocyclopentane ring such as, for example, a 2,4-diaza-1-ethoxy-4-ethyl-3,5-dioxocyclohexene ring, a 2,4-diaza-1-ethoxy-4-[3-(2,4-di-tert-amylphenoxy)propyl]-3,5-dioxocyclohexene ring etc..

Preference is also given to compounds of formula (1) wherein

R<sub>3</sub> is a cyano group;

R<sub>4</sub> is -CONHR<sub>5</sub>;

 $R_5$  is  $C_1$ - $C_{22}$ alkyl; or  $C_6$ - $C_{20}$ aryl;

R<sub>1</sub> is hydrogen; and

 $R_2$  is defined as in formula (1).

If in formula (1) n = 2,

preference is further given to the use of compounds of formula

(1a) 
$$R_3$$
  $R_4$   $C$   $R_4$   $R_5$  , wherein

A is a bivalent radical of formula (1a<sub>1</sub>)  $*^{-N}_{l}^{-(CH_2)_m}N^{-*}_{l}$ ; a bivalent radical of formula  $R_2$ 

(1a<sub>2</sub>) 
$$\stackrel{R_8}{\underset{R_2}{\longleftarrow}}$$
; or a bivalent radical of formula (1a<sub>3</sub>)  $\stackrel{*}{\underset{R_2}{\longleftarrow}}$   $\stackrel{N-*}{\underset{R_2}{\longleftarrow}}$ ;

R<sub>8</sub> is hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>3</sub> is a cyano group; or -Q<sub>1</sub>-R<sub>5</sub>; and

 $R_2$ ,  $R_4$ ,  $R_5$ ,  $Q_1$  and m are defined as in claim 1.

If in formula (1) n = 3,

preference is further given to the use of compounds of formula

(1b) 
$$R_1$$
  $R_2$   $R_4$   $R_4$   $R_4$   $R_4$   $R_4$   $R_4$ 

 $R_1$  is a trivalent radical of formula (1b<sub>1</sub>) \*-(H<sub>2</sub>C)<sub>p</sub>-C-(CH<sub>2</sub>)<sub>p</sub>-\*; or (CH<sub>2</sub>)<sub>p</sub>

R<sub>2</sub> is hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>3</sub> and R<sub>4</sub> independently from each other are a cyano group; or -Q<sub>1</sub>-R<sub>5</sub>;

Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>12</sub>-;

R<sub>5</sub> is C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>9</sub> and R<sub>10</sub> independently from each other are C<sub>1</sub>-C<sub>4</sub>alkyl;

R<sub>11</sub> and R<sub>12</sub> independently from each other are hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl; and

p is a number from 0 to 5.

If in formula (1) n = 3 or 4,

preference is further given to the use of compounds of formula

(1c) 
$$\begin{bmatrix} R_{1} & R_{10} & R_{1$$

R<sub>1</sub> and R<sub>2</sub> are each independently of the other C<sub>1</sub>-C<sub>22</sub>alkyl; or a cyano group; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>-ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

 $R_4$  is a cyano group; or  $-Q_1-R_5$ ;

n is 3; or 4;

if n = 3

R'<sub>5</sub> is a trivalent alkyl radical;

if n = 4

R'<sub>5</sub> is a tetravalent alkyl radical;

R<sub>5</sub>, R<sub>7</sub>, Q<sub>1</sub> and m are defined as in formula (1); and

 $R_9$  and  $R_{10}$  are defined as in formula (1b).

Further preference is given to the use of momomeric or oligomeric compound comprising structural elements of formula

(2) 
$$*$$
  $\underset{R_2}{\overset{C}{\bigvee}}$  , wherein

at least one of the asterix-marked radicals may be bound to the monomeric or polymeric radical; and

R<sub>2</sub> and R<sub>4</sub> are defined as in claim 1;

# as UV chromophore

Preferably, monomeric or polymeric compounds corresponding to formula

(3) 
$$H_2C = R_{13} = R_{14} - (R_{15})_q - (R_{16})_r - Z$$
, wherein

Z is a radical of formula (2);

R<sub>13</sub> is hydrogen; halogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>14</sub> is -CONH-; -COO-; or a phenylene radical;

R<sub>15</sub> is C<sub>1</sub>-C<sub>20</sub>alkylene; or C<sub>6</sub>-C<sub>20</sub>arylene;

R<sub>16</sub> is -COO-; -OCO-; -CONH-; -NH-CO-O-; -NH-CO-; -SO<sub>2</sub>NH-; -NHSO<sub>2</sub>-; -SO<sub>2</sub>- or -O-;

q is 0; or an integer; and

r is 0; or an integer.

Further compounds for use in accordance with the invention are listed in Table MC1 herein below:

Table MC	<u>1</u>	
Com- pound of formula	<u>Structure</u>	<u>λ<sub>max</sub> [nm]</u>
MC01	N N N N N N N N N N N N N N N N N N N	
MC02	N H N N O O O O O O O O O O O O O O O O	
MC03		

Table MC1		
Com- pound of formula	Structure	<u>λ<sub>max</sub> [nm]</u>
MC04		
MC05		
MC06	$N = \bigcup_{N \in \mathcal{N}} N = \bigcup_{N \in \mathcal{N}} N$	
MC07		

Table MC	<u>:1</u>	
Com- pound of formula	Structure	<u>λ<sub>max</sub> [nm]</u>
MC08		-
MC09		
MC10		
MC11		
MC12		
MC13	H O H O H O H O H O H O H O H O H O H O	
MC14		

The merocyanine compounds of formula (1) used in accordance with the invention are, in some cases, known compounds but also include novel compounds.

The novel compounds correspond to formula

(1') 
$$\begin{bmatrix} C & R'_3 \\ R'_1 - N & R'_2 \end{bmatrix}$$
, wherein

R'<sub>2</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R'<sub>1</sub> and R'<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

R'<sub>4</sub> is-Q<sub>1</sub>-R'<sub>5</sub>;

Q'<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR'<sub>6</sub>-;

R'<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R'<sub>6</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R'<sub>7</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m' is from 3 to 7;

n' is from 2 to 4;

the cyclohexene radical C is not substituted or substituted by one or more C₁-C₅alkyl;

if n' = 2,

R'<sub>1</sub> is an alkylene- or cycloalkylene radical; or R'<sub>1</sub> and R'<sub>2</sub> simultaneously form an alkylene, cycloalkylene or phenylene radical; and simultaneously

R'<sub>3</sub> is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and simultaneously

 $R'_1$  is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m'</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

when n' = 3,

R'<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or – NR'<sub>7</sub>-groups; and

R'<sub>3</sub> simultaneously is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and simultaneously

R'<sub>1</sub> is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R'<sub>1</sub> and R'<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m'</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

if n' = 4.

R'1 is a tetravalent alkyl group; and

R'<sub>3</sub> simultaneously is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'<sub>5</sub> is an alkylene- or cycloalkylene radical; and simultaneously

 $R'_1$  is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R'_1$  and  $R'_2$  together with the nitrogen atom linking them form a - $(CH_2)_{m'}$ - ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-.

The preparation of the compounds of formula (1) may be carried out according to known methods of the prior art as described for example in DE 3531383 on pages 11 and the references cited therein.

The compounds of formula (1) can be prepared starting from 1-aminocyclohexanone-3 of the general formula

$$(4) \begin{bmatrix} R_1 & & \\ & R_2 & \end{bmatrix}$$

wherein R<sub>1</sub>, R<sub>2</sub> and n are defined as in formula (1) by condensation of dihydroxyresorcines with primary or secondary amine compounds. After alkylation with dimethylsulfate or another suitable alkylating agents like diethylsulfate and subsequent reaction with a suitable methylene-active compound compounds of formula (1) are obtained.

The alkylation reaction of the starting compounds of formula (3) with suitable alkylating agents like dimethylsulfate may be carried out in a suitable solvent, preferably dimethylsulfoxide, N-methylpyrrolidone, dimethylformamide or dimethylacetamide. Protic solvents like methanol, ethanol, iso-butanol, tert-butanol or iso-propanol are also suitable.. The reaction may also be carried out in aliphatic or aromatic solvents like hexane, toluene or xylol. Ether

compounds like diethylether and tetrahydrofurane or halogenated solvents like chloroform or dichlormethane are also suitable solvents as well as mixtures of these solvents.

The reaction may be carried out at temperatures between -80°C and the boiling point of the reaction mixture, preferably from 60 to 120°C.

The intermediates of formula (4), wherein

- $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or -NR<sub>3</sub>-;
- R<sub>3</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>aikyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;
- m is from 3 to 7;
- n is from 2 to 4;

the cyclohexene radical C is unsubstituted or substituted by one or more  $C_1$ - $C_5$ alkyl; when n = 2,

 $R_1$  and  $R_2$  simultaneously form an alkylene, cycloalkylene or phenylene radical; when n=3,

R<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or -NR<sub>3</sub>-groups;

when n = 4,

R<sub>1</sub> is a tetravalent alkyl group which is optionally interrupted by one or more –O- or -NR<sub>3</sub>-groups;

are novel and are a further subject matter of the present invention.

They are useful intermediates for the preparation of UV absorbers and represent themselves UV-B absorbers for protecting human and animal hair and skin from UV radiation.

Further compounds for use in accordance with the invention are listed in Table MC1a herein below:

Table MC	<u>1a</u>	
Com- pound of formula	Structure	λ <sub>max</sub> [nm]
MC01a		296 (EtOH)
MC04a	o H N N O	
MC05a		309 (EtOH)
MC06a	$\begin{array}{cccccccccccccccccccccccccccccccccccc$	295 (Acetonitril)
MC07a		
MC08a	0 N N N O	
MC09		

Table MC1a		
Com- pound of formula	Structure	<u>λ<sub>max</sub> [nm]</u>
MC10a		

The UV absorbers according to the present invention can be used either in the dissolved state (soluble organic filters, solubilised organic filters) or in the micronised state (nanoscalar organic filters, particulate organic filters, UV-absorber pigments).

Any known process suitable for the preparation of microparticles can be used for the preparation of the micronised UV absorbers, for example:

- (a) wet-milling (low-viscosity micronisation process for pumpable dispersions), with a hard grinding medium, for example zirconium silicate balls in a ball mill, and a protective surfactant or a protective polymer in water or in a suitable organic solvent;
- (b) wet-kneading (high-viscosity micronisation process for non-pumpable pastes) using a continuous or discontinuous (batch) kneader. For a wet-kneading process, a solvent (water or cosmetically acceptable oils), a grinding aid (surfactant, emulsifier) and a polymeric grinding aid may be used.
- (c) spray-drying from a suitable solvent, for example aqueous suspensions or suspensions containing organic solvents, or true solutions in water, ethanol, dichloroethane, toluene or N-methylpyrrolidone etc..
- (d) by expansion according to the RESS process (Rapid Expansion of Supercritical Solutions) of supercritical fluids (e.g. CO<sub>2</sub>) in which the UV filter or filters is/are dissolved, or the expansion of liquid carbon dioxide together with a solution of one or more UV filters in a suitable organic solvent;
- (e) by reprecipitation from suitable solvents, including supercritical fluids (GASR process = Gas Anti-Solvent Recrystallisation / PCA process = Precipitation with Compressed Anti-solvents).

Processes (a) and (b) may be used preferably.

As milling apparatus for the preparation of the micronised organic UV absorbers there may be used, for example, a jet mill, ball mill, vibratory mill or hammer mill, preferably a high-speed mixing mill. Even more preferable mills are modern ball mills; manufacturers of these types of mill are, for example, Netzsch (LMZ mill), Drais (DCP-Viscoflow or Cosmo), Bühler AG (centrifugal mills) or Bachhofer. The grinding is preferably carried out with a grinding aid.

Examples of kneading apparatus for the preparation of the micronised organic UV absorbers are typical sigma-blade batch kneaders but also serial batch kneaders (IKA-Werke) or continuous kneaders (Continua from Werner und Pfleiderer).

Useful low molecular weight grinding aids for all the above micronisation processes are surfactants and emulsifiers as disclosed below in the sections entitled "Emulsifiers", "Surfactants" and "Fatty alcohols".

Useful polymeric grinding aids for water dispersion are cosmetically acceptable water-soluble polymers with Mn > 500 g/mol, for example: acrylates (Salcare types), modified or non-modified polysaccharides, polyglucosides or xanthan gum. Furthermore an alkylated vinyl-pyrrolidone polymer, a vinylpyrrolidone/vinyl acetate copolymer, an acyl glutamate, an alkyl polyglucoside, Ceteareth-25 or a phospholipid may be used. Oil dispersions may comprise cosmetically acceptable waxy polymers or natural waxes as polymeric grinding aid to adjust the viscosity during and after processing. Examples of other useful polymeric grinding aids are disclosed below in the section entitled "Polymers".

Useful solvents are water, brine, (poly-)ethylene glycol, glycerol or cosmetically acceptable oils. Other useful solvents are disclosed below in the sections entitled "Esters of fatty acids", "Natural and synthetic triglycerides, including glyceryl esters and derivatives", "Pearlescent waxes", "Hydrocarbon oils" and "Silicones or siloxanes".

The micronised UV absorbers so obtained usually have an average particle size from 0.02 to 2 micrometres, preferably from 0.03 to 1.5 micrometres and more especially from 0.05 to 1.0 micrometres.

The UV absorbers according to the present invention can also be used dry in powder form. For that purpose, the UV absorbers are subjected to known grinding methods, such as

vacuum atomisation, countercurrent spray-drying etc.. Such powders have a particle size of from 0.1 micrometres to 2 micrometres. To avoid the occurrence of agglomeration, the UV absorbers can be coated with a surface-active compound prior to the pulverisation process, for example with an anionic, non-ionic or amphoteric surfactant, e.g. a phospholipid or a known polymer, such as PVP, an acrylate etc..

The UV absorbers according to the present invention can also be used in specific carriers for cosmetics, for example in solid lipid nanoparticles (SLN) or in inert sol-gel microcapsules wherein the UV absorbers are encapsulated.

The cosmetic formulations or pharmaceutical compositions according to the present invention can also comprise one or more than one further UV filter as described in Tables 1-3.

The cosmetic or pharmaceutical preparations can be prepared by physically mixing the UV absorber(s) with the adjuvant using customary methods, for example by simply stirring together the individual components, especially by making use of the dissolution properties of already known cosmetic UV absorbers, for example octyl methoxycinnamate, salicylic acid isooctyl ester etc.. The UV absorber can be used, for example, without further treatment, or in the micronised state, or in the form of a powder.

Cosmetic or pharmaceutical preparations contain from 0.05 % to 40 % by weight, based on the total weight of the composition, of one UV absorber or a mixture of UV absorbers.

Preference is given to the use of mixing ratios of the UV absorber of formula (1) according to the present invention and optional further light-protective agents (as described in Tables 1-3) of from 1:99 to 99:1, especially from 1:95 to 95:1 and preferably from 10:90 to 90:10, based on weight. Of special interest are mixing ratios of from 20:80 to 80:20, especially from 40:60 to 60:40 and preferably approximately 50:50. Such mixtures can be used, *inter alia*, to improve solubility or increase UV absorption.

The UV absorbers of formula (1) according to the present invention or combinations of UV filters are useful for protecting skin, hair and/or natural or artificial hair colour.

Table 1. Suitable UV filter substances which can be additionally used with the UV absorbers according to the present invention

(The generic scope of the UV absorbers is described in the left-hand column; specific compounds are indicated in the right-hand column)

p-aminobenzoic acid derivatives, for example 4-dimethylaminobenzoic acid 2-ethylhexyl ester;

salicylic acid derivatives, for example salicylic acid 2-ethylhexyl ester;

benzophenone derivatives, for example 2-hydroxy-4-methoxybenzophenone and its 5-sulfonic acid derivative;

diphenylacrylates, for example 2-ethylhexyl 2-cyano-3,3-diphenylacrylate, and 3-(benzo-furanyl) 2-cyanoacrylate;

3-imidazol-4-ylacrylic acid and esters;

benzofuran derivatives, especially 2-(p-aminophenyl)benzofuran derivatives, described in EP-A-582 189, US-A-5 338 539, US-A-5 518 713 and EP-A-613 893;

polymeric UV absorbers, for example the benzylidene malonate derivatives described in EP-A-709 080;

cinnamic acid derivatives, for example the 4-methoxycinnamic acid 2-ethylhexyl ester and isoamyl ester or cinnamic acid derivatives described in US-A-5 601 811 and WO 97/00851;

camphor derivatives, for example 3-(4'-methyl)benzylidene-bornan-2-one, 3-benzylidene-bornan-2-one, N-[2(and 4)-2-oxyborn-3-ylidene-methyl)-benzyl]acrylamide polymer, 3-(4'-trimethylammonium)-benzylidene-bornan-2-one methyl sulfate, 3,3'-(1,4-phenylene-dimethine)-bis(7,7-dimethyl-2-oxo-bicyclo[2.2.1]heptane-1-methanesulfonic acid) and salts, 3-(4'-sulfo)benzylidene-bornan-2-one and salts; camphorbenzalkonium methosulfate; hydroxyphenyltriazine compounds, for example 2-(4'-methoxyphenyl)-4,6-bis(2'-hydroxy-4'-n-octyloxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(3-(2-propyloxy)-2-hydroxy-propyloxy)-2-hydroxy]-phenyl}-6-(4-methoxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(2-ethyl-hexyloxy)-2-hydroxy]-phenyl}-6-[4-(2-methoxypthyl-carboxyl)-phenyl]-6-(4-methoxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(2"-methylpropenyloxy)-2-hydroxy]-phenyl}-6-(4-methoxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(3-(2-propyloxy)-2-hydroxy]-phenyl}-6-(4-methoxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(3-(2-propyloxy)-2-hydroxy-propyloxy)-2-hydroxy]-phenyl}-6-(4-methoxyphenyl)-1,3,5-triazine; 2,4-bis{[4-(3-(2-propyloxy)-2-hydroxy-propyloxy)-2-hydroxy]-phenyl}-6-[4-ethylcarboxy)-phenylamino]-1,3,5-triazine;

physical sunscreens, coated or not coated, such as titanium dioxide, zinc oxide, iron oxides, mica, MnO, Fe<sub>2</sub>O<sub>3</sub>, Ce<sub>2</sub>O<sub>3</sub>, Al<sub>2</sub>O<sub>3</sub>, ZrO<sub>2</sub> (surface coatings: polymethylmethacrylate, methicone (methylhydrogenpolysiloxane as described in CAS 9004-73-3), dimethicone, isopropyl titanium triisostearate (as described in CAS 61417-49-0), metal soaps such as magnesium stearate (as described in CAS 4086-70-8), perfluoroalcohol phosphate such as C<sub>9</sub>-C<sub>15</sub> fluoroalcohol phosphate (as described in CAS 74499-44-8; JP 5-86984; JP 4-330007)). The primary particle size is, on average, 15 nm - 35 nm and the particle size distribution is in the range 100 nm - 300 nm.

aminohydroxy-benzophenone derivatives disclosed in DE 100 11 317, EP 1 133 980 and EP 1 046 391

phenyl-benzimidazole derivatives as disclosed in EP 1 167 358

Table 2. Suitable UV filter substances which can be additionally used with the UV

absorbers according to the present invention

(Abbreviations T: Table, R: row, Comp: compound, Ex: compound(s) of Patent Example, p: page; the generic scope of the UV absorbers is described in the left-hand column; specific compounds are indicated in the right-hand column)

compounds are indicated in the right-hand column)		
DE 10331804	T1p4, T2+3p5	
EP 613 893	Ex 1-5 + 15, T 1, pp 6-8	
EP 1 000 950	Comp. in Table 1, pp 18-21	
EP 1 005 855	T 3, p 13	
EP 1 008 586	Ex 1-3, pp 13-15	
EP 1 008 593	Ex 1-8, pp 4-5	
EP 1 027 883	Compound VII, p 3	
EP 1 027 883	Comp I-VI, p 3	
EP 1 028 120	Ex 1-5, pp 5-13	
EP 1 059 082	Ex 1; T 1, pp 9-11	
EP 1 060 734	T 1-3, pp 11-14	
EP 1 064 922	Compounds 1-34, pp 6-14	
EP 1 081 140	Ex 1-9, pp 11-16	
EP 1 103 549	Compounds 1-76, pp 39-51	
EP 1 108 712	4,5-Dimorph olino-3-hydroxypyridazine	
EP 1 123 934	T 3, p 10	
EP 1 129 695	Ex 1-7, pp 13-14	
EP 1 167 359	Ex 1, p 11 and Ex 2, p 12	
EP 1 258 481	Ex 1, pp 7,8	
EP 420 707 B1	Ex 3, p 13 (CAS Reg. No 80142-49-0)	
EP 503 338	T 1, pp 9-10	
EP 517 103	Ex 3,4,9,10 pp 6-7	
EP 517 104	Ex 1, T 1, pp 4-5; Ex 8, T 2, pp 6-8	
EP 626 950	all compounds	
EP 669 323	Ex 1-3, p 5	
EP 780 382	Ex 1-11, pp 5-7	
EP 823 418	Ex 1-4, pp 7-8	
EP 826 361	T 1, pp 5-6	
EP 832 641	Ex 5+6 p 7; T 2, p 8	
EP 832 642	Ex 22, T 3, pp 10-15; T 4, p 16	

Table 2. Suitable UV filter substances which can be additionally used with the UV absorbers according to the present invention (Abbreviations T: Table, R: row, Comp: compound, Ex: compound(s) of Patent Example, p: page; the generic scope of the UV absorbers is described in the left-hand column; specific compounds are indicated in the right-hand column) EP 852 137 T 2, pp 41-46 EP 858 318 T1, p6 EP 863 145 Ex 1-11, pp 12-18 EP 895 776 Comp. in rows 48-58, p 3; R 25+33, p 5 EP 911 020 T 2, p 11-12 EP 916 335 T 2-4, pp 19-41 EP 924 246 T2, p9 EP 933 376 Ex 1-15, pp 10-21 EP 944 624 Ex 1+2, pp 13-15 EP 945 125 T 3 a+b, pp 14-15 EP 967 200 Ex 2; T 3-5, pp 17-20 EP 969 004 Ex 5, T 1, pp 6-8 JP 2000319629 CAS Reg Nos. 80142-49-0, 137215-83-9, 307947-82-6 US 5 635 343 all compounds on pp 5-10 US 5 338 539 Ex 1-9, pp 3+4 US 5 346 691 Ex 40, p 7; T 5, p 8 US 5 801 244 Ex 1-5, pp 6-7 WO 0149686 Ex 1-5, pp 16-21 WO 0168047 Tables on pp 85-96 WO 0181297 Ex 1-3, pp 9-11 WO 0238537 All compounds p 3, compounds on rows 1-10 p 4 WO 9217461 Ex 1-22, pp 10-20 WO 9220690 Polymeric Comp in Examples 3-6 WO 9301164 T 1+2, pp 13-22

# Table 3. Suitable UV filter substances which can be additionally used with the UV absorbers according to the present invention

(The generic scope of the UV absorbers is described in the left-hand column; specific compounds are indicated in the right-hand column)

Ex 1-3, p 10

WO 9714680

Table 3. Suitable UV filter substances which can be additionally used with the UV absorbers according to the present invention (The generic scope of the UV absorbers is described in the left-hand column; specific compounds are indicated in the right-hand column) CAS No. **Chemical Name** No. (+/-)-1,7,7-trimethyl-3-[(4-methylphenyl)methylene]bicyclo-36861-47-9 [2.2.1]heptan-2-one 1,7,7-trimethyl-3-(phenylmethylene)bicyclo[2.2.1]heptan-2-one 15087-24-8 1641-17-4 (2-hydroxy-4-methoxyphenyl)(4-methylphenyl)methanone 3 131-56-6 2,4-dihydroxybenzophenone 131-55-5 2,2',4,4'-tetrahydroxybenzophenone 5 131-57-7 2-hydroxy-4-methoxybenzophenone 6 4065-45-6 2-hydroxy-4-methoxybenzophenone-5-sulfonic acid 131-54-4 2,2'-dihydroxy-4,4'-dimethoxybenzophenone 8 131-53-3 2,2'-dihydroxy-4-methoxybenzophenone 9 alpha-(2-oxoborn-3-ylidene)toluene-4-sulfonic acid and its salts 56039-58-8 10 1-[4-(1,1-dimethylethyl)phenyl]-3-(4-methoxyphenyl)propane-1,3-70356-09-1 11 dione methyl N,N,N-trimethyl-4-[(4,7,7-trimethyl-3-oxobicyclo[2.2.1]hept-2-52793-97-2 12 ylidene)methyl]anilinium sulphate; 118-56-9 3,3,5-trimethyl-cyclohexyl-2-hydroxy-benzoate 22 71617-10-2 isopentyl p-methoxycinnamate 23 134-09-8 27 menthyl o-aminobenzoate 89-46-3 menthyl salicylate 28 6197-30-4 2-ethylhexyl 2-cyano-3,3-diphenylacrylate 29 21245-02-3 2-ethylhexyl 4-(dimethylamino)benzoate 30 5466-77-3 2-ethylhexyl 4-methoxycinnamate 31 118-60-5 2-ethylhexyl salicylate 32 benzoic acid, 4,4',4"- (1,3,5- triazine-2,4,6-triyltriimino)tris-, tris(2-88122-99-0 33 ethylhexyl) ester; 2,4,6-trianilino-(p-carbo-2'-ethylhexyl-1'-oxy)-1,3,5triazine 150-13-0 4-aminobenzoic acid 34 benzoic acid, 4-amino-, ethyl ester, polymer with oxirane 113010-52-9 35 27503-81-7 2-phenyl-1H-benzimidazole-5-sulphonic acid 38 2-propenamide, N-[[4-[(4,7,7-trimethyl-3-oxobicyclo[2.2.1]hept-2-147897-12-9 39 ylidene)methyl]phenyl]methyl]-, homopolymer 2174-16-5 triethanolamine salicylate 40

Tab	e 3. Suitable UV filter substances which can be additionally used with	the UV absorber
acco	ording to the present invention	
com	generic scope of the UV absorbers is described in the left-hand columpounds are indicated in the right-hand column)	nn; specific
41	3,3'-(1,4-phenylenedimethylene)bis[7,7-dimethyl-2-oxobicyclo[2.2.1]heptane-1-methanesulfonic acid]	90457-82-2
42	titanium dioxide	13463-67-7
44	zinc oxide	1314-13-2
45	2,2'-methylene-bis[6-(2H-benzotriazol-2-yl)-4-(1,1,3,3-tetramethyl-butyl)-phenol]	103597-45-1
46 ——	2,4-bis{[4-(2-ethylhexyloxy)-2-hydroxy]-phenyl}-6-(4-methoxy-phenyl)-(1,3,5)-triazine	187393-00-6
47 	1H-benzimidazole-4,6-disulfonic acid, 2,2'-(1,4-phenylene)bis-, disodium salt	180898-37-7
48 	benzoic acid, 4,4'-[[6-[[4-[[(1,1-dimethylethyl)amino]carbonyl]-phenyl]amino]1,3,5-triazine-2,4-diyl]diimino]bis-, bis(2-ethylhexyl) ester	154702-15-5
49	phenol, 2-(2H-benzotriazol-2-yl)-4-methyl-6-[2-methyl-3-[1,3,3,3-tetramethyl-1-[(trimethylsilyl)oxy]disiloxanyl]propyl]-	155633-54-8
50	dimethicodiethylbenzalmalonate	207574-74-1
51	benzenesulfonic acid, 3-(2H-benzotriazol-2-yl)-4-hydroxy-5-(1-methylpropyl)-, monosodium salt	92484-48-5
52	benzoic acid, 2-[4-(diethylamino)-2-hydroxybenzoyl]-, hexyl ester	302776-68-7
53	1-dodecanaminium, N-[3-[[4-(dimethylamino)benzoyl]amino]propyl]-N,N-dimethyl-, salt with 4-methylbenzenesulfonic acid (1:1)	156679-41-3
54	1-propanaminium, N,N,N-trimethyl-3-[(1-oxo-3-phenyl-2-propenyl)-amino]-, chloride	177190-98-6
55	1H-benzimidazole-4,6-disulfonic acid, 2,2'-(1,4-phenylene)bis-	170864-82-1
56	1,3,5-triazine, 2,4,6-tris(4-methoxyphenyl)-	7753-12-0
57	1,3,5-triazine, 2,4,6-tris[4-[(2-ethylhexyl)oxy]phenyl]-	208114-14-1
58	1-propanaminium, 3-[[3-[3-(2H-benzotriazol-2-yl)-5-(1,1-dimethylethyl)-4-hydroxyphenyl]-1-oxopropyl]amino]-N,N-diethyl-N-methyl-, methyl sulfate (salt)	340964-15-0
59	2-propenoic acid, 3-(1H-imidazol-4-yl)-	104-98-3
60	benzoic acid, 2-hydroxy-, [4-(1-methylethyl)phenyl]methyl ester	94134-93-7
51	1,2,3-propanetriol, 1-(4-aminobenzoate)	136-44-7
2	benzeneacetic acid, 3,4-dimethoxyoxo-	4732-70-1
3	2-propenoic acid, 2-cyano-3,3-diphenyl-, ethyl ester	5232-99-5
4	anthranilic acid, p-menth-3-yl ester	134-09-8
55	2,2'-bis(1,4-phenylene)-1H-benzimidazole-4,6-disulphonic acid monosodium salt or disodium phenyl dibenzimidazole tetrasulfonate or Neo-Heliopan AP	349580-12-7

Suitable UV filter substances which can additionally be used with the UV absorbers according to the present invention are any UV-A and UV-B filter substances.

The cosmetic or pharmaceutical preparations may be, for example, creams, gels, lotions, alcoholic and aqueous/alcoholic solutions, emulsions, wax/fat compositions, stick preparations, powders or ointments. In addition to the above-mentioned UV filters, the cosmetic or pharmaceutical preparations may contain further adjuvants as described below.

As water- and oil-containing emulsions (e.g. W/O, O/W, O/W/O and W/O/W emulsions or microemulsions) the preparations contain, for example, from 0.1 to 30 % by weight, preferably from 0.1 to 15 % by weight and especially from 0.5 to 10 % by weight, based on the total weight of the composition, of one or more UV absorbers, from 1 to 60 % by weight, especially from 5 to 50 % by weight and preferably from 10 to 35 % by weight, based on the total weight of the composition, of at least one oil component, from 0 to 30 % by weight, especially from 1 to 30 % by weight und preferably from 4 to 20 % by weight, based on the total weight of the composition, of at least one emulsifier, from 10 to 90 % by weight, especially from 30 to 90 % by weight, based on the total weight of the composition, of water, and from 0 to 88.9 % by weight, especially from 1 to 50 % by weight, of further cosmetically acceptable adjuvants.

The cosmetic or pharmaceutical compositions/preparations according to the invention may also comprise one or one more additional compounds as described below.

#### Fatty alcohols

Guerbet alcohols based on fatty alcohols having from 6 to 18, preferably from 8 to 10, carbon atoms, including cetyl alcohol, stearyl alcohol, cetearyl alcohol, oleyl alcohol, octyldodecanol, benzoates of  $C_{12}$ - $C_{15}$  alcohols, acetylated lanolin alcohol etc..

## Esters of fatty acids

Esters of linear  $C_6$ - $C_{24}$  fatty acids with linear  $C_3$ - $C_{24}$  alcohols, esters of branched  $C_6$ - $C_{13}$ carboxylic acids with linear  $C_6$ - $C_{24}$  fatty alcohols, esters of linear  $C_6$ - $C_{24}$  fatty acids with branched alcohols, especially 2-ethylhexanol, esters of hydroxycarboxylic acids with linear or branched  $C_6$ - $C_{22}$  fatty alcohols, especially dioctyl malates, esters of linear and/or branched fatty acids

with polyhydric alcohols (for example propylene glycol, dimer diol or trimer triol) and/or Guerbet alcohols; for example caproic acid, caprylic acid, 2-ethylhexanoic acid, capric acid, lauric acid, isotridecanoic acid, myristic acid, palmitic acid, palmitoleic acid, stearic acid, isostearic acid, oleic acid, elaidic acid, petroselinic acid, linoleic acid, linolenic acid, elaeostearic acid, arachidic acid, gadoleic acid, behenic acid and erucic acid and technical-grade mixtures thereof (obtained, for example, in the pressure removal of natural fats and oils, in the reduction of aldehydes from Roelen's oxosynthesis or in the dimerisation of unsaturated fatty acids) with alcohols, for example, isopropyl alcohol, caproic alcohol, capryl alcohol, 2-ethylhexyl alcohol, capric alcohol, lauryl alcohol, isotridecyl alcohol, myristyl alcohol, cetyl alcohol, palmoleyl alcohol, stearyl alcohol, isostearyl alcohol, oleyl alcohol, elaidyl alcohol, petroselinyl alcohol, linoyl alcohol, linolenyl alcohol, elaeostearyl alcohol, arachidyl alcohol, gadoleyl alcohol, behenyl alcohol, erucyl alcohol and brassidyl alcohol and technical-grade mixtures thereof (obtained, for example, in the high-pressure hydrogenation of technical-grade methyl esters based on fats and oils or aldehydes from Roelen's oxosynthesis and as monomer fractions in the dimerisation of unsaturated fatty alcohols).

Examples of such ester oils are isopropyl myristate, isopropyl palmitate, isopropyl stearate, isopropyl isostearate, isopropyl oleate, n-butyl stearate, n-hexyl laurate, n-decyl oleate, isooctyl stearate, isononyl stearate, isononyl isononanoate, 2-ethylhexyl palmitate, 2-hexyl laurate, 2-hexyldecyl stearate, 2-octyldodecyl palmitate, oleyl oleate, oleyl erucate, erucyl oleate, erucyl erucate, cetearyl octanoate, cetyl palmitate, cetyl stearate, cetyl oleate, cetyl behenate, cetyl acetate, myristyl myristate, myristyl behenate, myristyl oleate, myristyl stearate, myristyl palmitate, myristyl lactate, propylene glycol dicaprylate/caprate, stearyl heptanoate, diisostearyl malate, octyl hydroxystearate etc..

## Other adjuvants

Diethylhexyl 2,6-naphthalate, di-n-butyl adipate, di(2-ethylhexyl) adipate, di(2-ethylhexyl) succinate and diisotridecyl acetate, and also diol esters, such as ethylene glycol dioleate, ethylene glycol diisotridecanoate, propylene glycol di(2-ethylhexanoate), propylene glycol diisostearate, propylene glycol dipelargonate, butanediol diisostearate and neopentyl glycol dicaprylate. Esters of C<sub>6</sub>-C<sub>24</sub> fatty alcohols and/or Guerbet alcohols with aromatic carboxylic acids, saturated and/or unsaturated, especially benzoic acid, esters of C<sub>2</sub>-C<sub>12</sub>dicarboxylic acids with linear or branched alcohols having from 1 to 22 carbon atoms or polyols having

from 2 to 10 carbon atoms and from 2 to 6 hydroxy groups, or iminodisuccinic acid and iminodisuccinic acid salts [CAS 7408-20-0] or latex particles.

# Natural or synthetic triglycerides, including glyceryl esters and derivatives

Di- or tri-glycerides, based on C<sub>6</sub>-C<sub>18</sub> fatty acids, modified by reaction with other alcohols (caprylic/capric triglyceride, wheatgerm glycerides, etc.). Fatty acid esters of polyglycerol (polyglyceryl-n such as polyglyceryl-4 caprate, polyglyceryl-2 isostearate, etc.) or castor oil, hydrogenated vegetable oil, sweet almond oil, wheatgerm oil, sesame oil, hydrogenated cottonseed oil, coconut oil, avocado oil, corn oil, hydrogenated castor oil, shea butter, cocoa butter, soybean oil, mink oil, sunflower oil, safflower oil, macadamia nut oil, olive oil, hydrogenated tallow, apricot kernel oil, hazelnut oil, borage oil etc..

Waxes, including esters of long-chain acids and alcohols as well as compounds having wax-like properties, e.g. carnauba wax, beeswax (white or yellow), lanolin wax, candelilla wax, ozokerite, japan wax, paraffin wax, microcrystalline wax, ceresin, cetearyl ester wax, synthetic beeswax etc.. Also, hydrophilic waxes such as cetearyl alcohol or partial glycerides.

#### Pearlescent waxes:

Alkylene glycol esters, especially ethylene glycol distearate; fatty acid alkanolamides, especially coco fatty acid diethanolamide; partial glycerides, especially stearic acid monoglyceride; esters of polyvalent, unsubstituted or hydroxy-substituted carboxylic acids with fatty alcohols having from 6 to 22 carbon atoms, especially long-chained esters of tartaric acid; fatty substances, for example fatty alcohols, fatty ketones, fatty aldehydes, fatty ethers and fatty carbonates, which in total have at least 24 carbon atoms, especially laurone and distearyl ether; fatty acids, such as stearic acid, hydroxystearic acid or behenic acid, ring-opening products of olefin epoxides having from 12 to 22 carbon atoms with fatty alcohols having from 12 to 22 carbon atoms and/or polyols having from 2 to 15 carbon atoms and from 2 to 10 hydroxy groups, and mixtures thereof.

# Hydrocarbon oils:

Mineral oil (light or heavy), petrolatum (yellow or white), microcrystalline wax, paraffinic and isoparaffinic compounds, hydrogenated isoparaffinic molecules such as polydecenes and polybutene, hydrogenated polyisobutene, squalane, isohexadecane, isododecane and others of vegetable or animal origin.

# Silicones or siloxanes (organo-substituted polysiloxanes)

Dimethylpolysiloxanes, methylphenylpolysiloxanes, cyclic silicones, and also amino-, fatty acid-, alcohol-, polyether-, epoxy-, fluorine-, glycoside- and/or alkyl-modified silicone compounds, which at room temperature may be in either liquid or resinous form. Linear polysiloxanes, dimethicone (Dow Coming 200 fluid, Rhodia Mirasil DM), dimethiconol, cyclic silicone fluids, cyclopentasiloxane volatiles (Dow Corning 345 fluid), phenyltrimethicone (Dow Corning 556 fluid). Also suitable are simethicones, which are mixtures of dimethicones having an average chain length of from 200 to 300 dimethylsiloxane units with hydrogenated silicates. A detailed survey by Todd *et al.* of suitable volatile silicones may in addition be found in Cosm. Toil. 91, 27 (1976).

# Fluorinated or perfluorinated oils

Perfluorohexane, dimethylcyclohexane, ethylcyclopentane, polyperfluoromethylisopropyl ether.

#### **Emulsifiers**

Any conventionally usable emulsifier can be used for the compositions. Emulsifier systems may comprise, for example: carboxylic acids and their salts: alkaline soaps of sodium, potassium and ammonium, metallic soaps of calcium or magnesium, organic-based soaps such as lauric, palmitic, stearic and oleic acid etc.. Alkyl phosphates or phosphoric acid esters, acid phosphates, diethanolamine phosphate, potassium cetyl phosphate. Ethoxylated carboxylic acids or polyethylene glycol esters, PEG-n acylates. Linear fatty alcohols having from 8 to 22 carbon atoms, branched, from 2 to 30 mol of ethylene oxide and/or from 0 to 5 mol of propylene oxide with fatty acids having from 12 to 22 carbon atoms and with alkylphenols having from 8 to 15 carbon atoms in the alkyl group. Fatty alcohol polyglycol ethers such as laureth-n, ceteareth-n, steareth-n, oleth-n. Fatty acid polyglycol ethers such as PEG-n-stearate, PEG-n-oleate, PEG-n-cocoate. Monoglycerides and polyol esters. C<sub>12</sub>-C<sub>22</sub> fatty acid mono- and di-esters of addition products of from 1 to 30 mol of ethylene oxide with polyols. Fatty acid and polyglycerol esters such as glycerol monostearate, diisostearoyl polyglyceryl-3-diisostearates, polyglyceryl-3-diisostearates, triglyceryl diisostearates, polyglyceryl-2-sesquiisostearates or polyglyceryl dimerates. Mixtures of compounds from a plurality of those substance classes are also suitable. Fatty acid polyglycol esters such as diethylene glycol monostearate, fatty acid and polyethylene glycol esters, fatty acid and saccharose esters such as sucrose esters, glycerol and saccharose esters such as sucrose glycerides. Sorbitol and sorbitan, sorbitan mono- and di-esters of saturated and unsaturated fatty acids having from 6 to 22 carbon atoms and ethylene oxide addition products. Polysorbate-n series, sorbitan esters such as sesquiisostearate, sorbitan, PEG-(6)-sorbitan isostearate, PEG-(10)-sorbitan laurate, PEG-17-sorbitan dioleate. Glucose derivatives, C8-C22alkyl-mono and oligo-glycosides and ethoxylated analogues with glucose being preferred as the sugar component. O/W emulsifiers such as methyl gluceth-20 sesquistearate, sorbitan stearate/sucrose cocoate, methyl glucose sesquistearate, cetearyl alcohol/cetearyl glucoside. W/O emulsifiers such as methyl glucose dioleate/methyl glucose isostearate. Sulfates and sulfonated derivatives, dialkylsulfosuccinates, dioctyl succinate, alkyl lauryl sulfonate, linear sulfonated paraffins, sulfonated tetrapropylene sulfonate, sodium lauryl sulfates, ammonium and ethanolamine lauryl sulfates, lauryl ether sulfates, sodium laureth sulfates, sulfosuccinates, acetyl isothionates, alkanolamide sulfates, taurines, methyl taurines, imidazole sulfates. Amine derivatives, amine salts, ethoxylated amines, oxiy amines with chains containing a heterocycle, such as alkyl imidazolines, pyridine derivatives, isoquinolines, cetylpyridinium chloride, cetylpyridinium bromide, quaternary ammonium such as cetyltrimethylammonium bromide (CTBA), stearylalkonium. Amide derivatives, alkanolamides such as acylamide DEA, ethoxylated amides such as PEG-n acylamide, oxydiamides. Polysiloxane/polyalkyl/polyether copolymers and derivatives, dimethicone, copolyols, silicone polyethylene oxide copolymer, silicone glycol copolymer. Propoxylated or POE-n ethers (Meroxapols), Polaxamers or poly(oxyethylene)m-block-poly(oxypropylene)nblock(oxyethylene). Zwitterionic surfactants that carry at least one quaternary ammonium group and at least one carboxylate and/or sulfonate group in the molecule. Zwitterionic surfactants that are especially suitable are betaines, such as N-alkyl-N,N-dimethylammonium glycinates, cocoalkyldimethylammonium glycinate, N-acylaminopropyl-N,N-dimethylammonium glycinates, cocoacylaminopropyldimethylammonium glycinate and 2-alkyl-3carboxymethyl-3-hydroxyethylimidazolines each having from 8 to 18 carbon atoms in the alkyl or acyl group and also cocoacylaminoethylhydroxyethylcarboxymethylglycinate, N-alkylbetaine, N-alkylaminobetaines. Alkylimidazolines, alkyl peptides, lipoamino acids, selfemulsifying bases and the compounds as described in K.F.DePolo, A short textbook of cosmetology, Chapter 8, Table 8-7, p250-251.

Non-ionic emulsifiers such as PEG-6 beeswax (and) PEG-6 stearate (and) polyglyceryl 2-isostearate [Apifac], glyceryl stearate (and) PEG-100 stearate. [Arlacel 165], PEG-5

glyceryl stearate [Arlatone 983 S], sorbitan oleate (and) polyglyceryl-3 ricinoleate [Arlacel 1689], sorbitan stearate and sucrose cocoate [Arlatone 2121], glyceryl stearate and laureth-23 [Cerasynth 945], cetearyl alcohol and Ceteth-20 [cetomacrogol wax], cetearyl alcohol and polysorbate 60 and PEG-150 and stearate-20 [polawax GP 200, polawax NF], cetearyl alcohol and cetearyl polyglucoside [Emulgade PL 1618], cetearyl alcohol and ceteareth-20 [Emulgade 1000Nl, Cosmowax], cetearyl alcohol and PEG-40 castor oil [Emulgade F], stearyl alcohol and PEG-40 castor oil and sodium cetearyl sulfate [Emulgade F], stearyl alcohol and steareth-7 and steareth-10 [Emulgator E 2155], cetearyl alcohol and steareth-7 and steareth-10 [emulsifying wax U.S.N.F], glyceryl stearate and PEG-75 stearate [Gelot 64], propylene glycol ceteth-3 acetate [Hetester PCS], propylene glycol isoceth-3 acetate [Hetester PHA], cetearyl alcohol and ceteth-12 and oleth-12 [Lanbritol Wax N21], PEG-6 stearate and PEG-32 stearate [Tefose 1500], PEG-6 stearate and ceteth-20 and steareth-20 [Tefose 2000], PEG-6 stearate and ceteth-20 and glyceryl stearate and steareth-20 [Tefose 2561], glyceryl stearate and ceteareth-20 [Teginacid H, C, X].

Anionic emulsifiers such as PEG-2 stearate SE, glyceryl stearate SE [Monelgine, Cutina KD], propylene glycol stearate [Tegin P], cetearyl alcohol and sodium cetearyl sulfate [Lanette N, Cutina LE, Crodacol GP], cetearyl alcohol and sodium lauryl sulfate [Lanette W], trilaneth-4 phosphate and glycol stearate and PEG-2 stearate [Sedefos 75], glyceryl stearate and sodium lauryl sulfate [Teginacid Special]. Cationic acid bases such as cetearyl alcohol and cetrimonium bromide.

The emulsifiers may be used in an amount of, for example, from 1 to 30 % by weight, especially from 4 to 20 % by weight and preferably from 5 to 10 % by weight, based on the total weight of the composition.

When formulated in O/W emulsions, the preferred amount of such emulsifier systems may constitute 5 % to 20 % of the oil phase.

#### Adjuvants and additives

The cosmetic/pharmaceutical preparations, for example creams, gels, lotions, alcoholic and aqueous/alcoholic solutions, emulsions, wax/fat compositions, stick preparations, powders or ointments, may in addition comprise, as further adjuvants and additives, mild surfactants, superfatting agents, consistency regulators, thickeners, polymers, stabilisers, biogenic active

ingredients, deodorising active ingredients, anti-dandruff agents, film formers, swelling agents, further UV light-protective factors, antioxidants, hydrotropic agents, preservatives, insect repellents, self-tanning agents, solubilisers, perfume oils, colorants, bacteria-inhibiting agents and the like.

#### Superfatting agents

Substances suitable for use as superfatting agents are, for example, lanolin and lecithin and also polyethoxylated or acrylated lanolin and lecithin derivatives, polyol fatty acid esters, monoglycerides and fatty acid alkanolamides, the latter simultaneously acting as foam stabilisers.

## **Surfactants**

Examples of suitable mild surfactants, that is to say surfactants especially well tolerated by the skin, include fatty alcohol polyglycol ether sulfates, monoglyceride sulfates, mono- and/or di-alkyl sulfosuccinates, fatty acid isothionates, fatty acid sarcosinates, fatty acid taurides, fatty acid glutamates, α-olefin sulfonates, ethercarboxylic acids, alkyl oligoglucosides, fatty acid glucamides, alkylamidobetaines and/or protein fatty acid condensation products, the latter preferably being based on wheat proteins.

# Consistency regulators/thickeners and rheology modifiers

Silicon dioxide, magnesium silicates, aluminium silicates, polysaccharides or derivatives thereof for example hyaluronic acid, xanthan gum, guar-guar, agar-agar, alginates, carragheenan, gellan, pectins, or modified cellulose such as hydroxycellulose, hydroxypropyl methylcellulose. In addition polyacrylates or homopolymers of crosslinked acrylic acids and polyacrylamides, carbomers (Carbopol types 980, 981, 1382, ETD 2001, ETD2020, Ultrez 10) or the Salcare range such as Salcare SC80 (steareth-10 allyl ether/acrylate copolymer), Salcare SC81 (acrylate copolymer), Salcare SC91 and Salcare AST (sodium acrylate copolymer/PPG-1 trideceth-6), Sepigel 305 (polyacrylamide/laureth-7), Simulgel NS and Simulgel EG (hydroxyethyl acrylate/sodium acryloyldimethyl taurate copolymer), Stabilen 30 (acrylate/vinyl isodecanoate crosspolymer), Pemulen TR-1 (acrylate/C<sub>10</sub>-C<sub>30</sub>alkyl acrylate crosspolymer), Luvigel EM (sodium acrylate copolymer), Aculyn 28 (acrylate/beheneth-25 methacrylate copolymer) etc..

#### **Polymers**

Suitable cationic polymers are, for example, cationic cellulose derivatives, for example a quaternised hydroxymethyl cellulose obtainable under the name Polymer JR 400 from Amerchol, cationic starches, copolymers of diallylammonium salts and acrylamides, quaternised vinylpyrrolidone/vinyl imidazole polymers, for example Luviquat® (BASF), condensation products of polyglycols and amines, quaternised collagen polypeptides, for example lauryldimonium hydroxypropyl hydrolysed collagen (Lamequat®L/Grünau), quaternised wheat polypeptides, polyethyleneimine, cationic silicone polymers, for example amidomethicones, copolymers of adipic acid and dimethylaminohydroxypropyl diethylenetriamine (Cartaretin/Sandoz), copolymers of acrylic acid with dimethyldiallylammonium chloride (Merquat 550/Chemviron), polyaminopolyamides, as described, for example, in FR-A-2 252 840, and the crosslinked water-soluble polymers thereof, cationic chitin derivatives, for example of quaternised chitosan, optionally distributed as microcrystals; condensation products of dihaloalkyls, for example dibromobutane, with bisdialkylamines, for example bisdimethylamino-1,3-propane, cationic guar gum, for example Jaguar C-17, Jaguar C-16 from Celanese, quaternised ammonium salt polymers, for example Mirapol A-15, Mirapol AD-1, Mirapol AZ-1 from Miranol. As anionic, zwitterionic, amphoteric and non-ionic polymers there come into consideration, for example, vinyl acetate/crotonic acid copolymers, vinylpyrrolidone/vinyl acrylate copolymers, vinyl acetate/butyl maleate/isobornyl acrylate copolymers, methyl vinyl ether/maleic anhydride copolymers and esters thereof, uncrosslinked polyacrylic acids and polyacrylic acids crosslinked with polyols, acrylamidopropyltrimethylammonium chloride/acrylate copolymers, octyl acrylamide/methyl methacrylatetert-butylaminoethyl methacrylate/2-hydroxypropyl methacrylate copolymers, polyvinylpyrrolidone, vinylpyrrolidone/vinyl acetate copolymers, vinylpyrrolidone/dimethylaminoethyl methacrylate/vinyl caprolactam terpolymers and also optionally derivatised cellulose ethers and silicones. Furthermore, the polymers as described in EP 1 093 796 (pages 3-8, paragraphs 17-68) may be used.

## Biogenic active ingredients

Biogenic active ingredients are to be understood as meaning, for example, tocopherol, tocopherol acetate, tocopherol palmitate, ascorbic acid, deoxyribonucleic acid, retinol, bisabolol, allantoin, phytantriol, panthenol, AHA acids, amino acids, ceramides, pseudoceramides, essential oils, plant extracts and vitamin complexes.

### Deodorising active ingredients

As deodorising active ingredients there come into consideration, for example, antiperspirants, for example aluminium chlorohydrates (see J. Soc. Cosm. Chem. 24, 281 (1973)). Under the trade mark Locron® of Hoechst AG, Frankfurt (FRG), there is available comercially, for example, an aluminium chlorohydrate corresponding to formula Al<sub>2</sub>(OH)<sub>5</sub>Cl x 2.5 H<sub>2</sub>O, the use of which is especially preferred (see J. Pharm. Pharmacol. 26, 531 (1975)). Besides the chlorohydrates, it is also possible to use aluminium hydroxyacetates and acidic aluminium/zirconium salts. Esterase inhibitors may be added as further deodorising active ingredients. Such inhibitors are preferably trialkyl citrates, such as trimethyl citrate, tripropyl citrate, triisopropyl citrate, tributyl citrate and especially triethyl citrate (Hydagen CAT, Henkel), which inhibit enzyme activity and hence reduce odour formation. Further substances that come into consideration as esterase inhibitors are sterol sulfates or phosphates, for example lanosterol, cholesterol, campesterol, stigmasterol and sitosterol sulfate or phosphate, dicarboxylic acids and esters thereof, for example glutaric acid, glutaric acid monoethyl ester, glutaric acid diethyl ester, adipic acid, adipic acid monoethyl ester, adipic acid diethyl ester, malonic acid and malonic acid diethyl ester and hydroxycarboxylic acids and esters thereof, for example citric acid, malic acid, tartaric acid or tartaric acid diethyl ester. Antibacterial active ingredients that influence the germ flora and kill or inhibit the growth of sweat-decomposing bacteria can likewise be present in the preparations (especially in stick preparations). Examples include chitosan, phenoxyethanol and chlorhexidine gluconate. 5chloro-2-(2,4-dichlorophenoxy)-phenol (Triclosan, Irgasan, Ciba Specialty Chemicals Inc.) has also proved especially effective.

#### Anti-dandruff agents

As anti-dandruff agents there may be used, for example, climbazole, octopirox and zinc pyrithione.

#### Film formers

Customary film formers include, for example, chitosan, microcrystalline chitosan, quaternised chitosan, polyvinylpyrrolidone, vinylpyrrolidone/vinyl acetate copolymers, polymers of quarternary cellulose derivatives containing a high proportion of acrylic acid, collagen, hyaluronic acid and salts thereof and similar compounds.

#### **Antioxidants**

In addition to the primary light-protective substances it is also possible to use secondary light-protective substances of the antioxidant kind that interrupt the photochemical reaction chain triggered when UV radiation penetrates the skin or hair. Typical examples of such antioxidants are amino acids (e.g. glycine, histidine, tyrosine, tryptophan) and derivatives thereof, imidazoles (e.g. urocanic acid) and derivatives thereof, peptides, such as D,L-carnosine, D-carnosine, L-carnosine and derivatives thereof (e.g. anserine), carotinoids, carotenes, lycopene and derivatives thereof, chlorogenic acid and derivatives thereof, lipoic acid and derivatives thereof (e.g. dihydrolipoic acid), aurothioglycose, propylthiouracil and other thiols (e.g. thioredoxin, glutathione, cysteine, cystine, cystamine and the glycosyl, N-acetyl, methyl, ethyl, propyl, amyl, butyl, lauryl, palmitoyl, oleyl, linoleyl, cholesteryl and glyceryl esters thereof) and also salts thereof, dilauryl thiodipropionate, distearyl thiodipropionate, thiodipropionic acid and derivatives thereof (esters, ethers, peptides, lipids, nucleotides, nucleosides and salts) and also sulfoximine compounds (e.g. buthionine sulfoximines, homocysteine sulfoximine, buthionine sulfones, penta-, hexa-, hepta-thionine sulfoximine), also (metal) chelating agents (e.g. hydroxy fatty acids, palmitic acid, phytic acid, lactoferrin), hydroxy acids (e.g. citric acid, lactic acid, malic acid), humic acid, bile acid, bile extracts, bilirubin, biliverdin, EDTA, EDDS, EGTA and derivatives thereof, unsaturated fatty acids and derivatives thereof (e.g. linolenic acid, linoleic acid, oleic acid), folic acid and derivatives thereof, ubiquinone and ubiquinol and derivatives thereof, vitamin C and derivatives (e.g. ascorbyl palmitate, magnesium ascorbyl phosphate, ascorbyl acetate), tocopherols and derivatives (e.g. vitamin E acetate), vitamin A and derivatives (e.g. vitamin A palmitate) and also coniferyl benzoate of benzoin resin, rutinic acid and derivatives thereof, glycosylrutin, ferulic acid, furfurylidene glucitol, carnosine, butyl hydroxytoluene, butyl hydroxyanisole, nordihydroguaiaretic acid, trihydroxybutyrophenone, uric acid and derivatives thereof, mannose and derivatives thereof, superoxide dismutase, N-[3-(3,5-di-tert-butyl-4-hydroxyphenyl)propionyl]sulfanilic acid (and salts thereof, for example the disodium salts), zinc and derivatives thereof (e.g. ZnO, ZnSO<sub>4</sub>), selenium and derivatives thereof (e.g. selenium methionine), stilbene and derivatives thereof (e.g. stilbene oxide, trans-stilbene oxide) and the derivatives suitable according to the invention (salts, esters, ethers, sugars, nucleotides, nucleosides, peptides and lipids) of those mentioned active ingredients. HALS (="Hindered Amine Light Stabilizers") compounds may also be mentioned.

Further synthetic and natural antioxidants are listed e.g. in patent WO 0025731:

structures 1-3 (page 2), structure 4 (page 6), structures 5-6 (page 7) and compounds 7-33 (page 8-14).

The amount of antioxidants present is usually from 0.001 to 30 % by weight, preferably from 0.01 to 3 % by weight, based on the weight of the UV absorber of formula (1).

## Hydrotropic agents

To improve the flow behaviour it is also possible to employ hydrotropic agents, for example ethoxylated or non ethoxylated mono-alcohols, diols or polyols with a low number of carbon atoms or their ethers (e.g. ethanol, isopropanol, 1,2-dipropanediol, propylene glycol, glycerol, ethylene glycol, ethylene glycol monoethyl ether, ethylene glycol monobutyl ether, propylene glycol monomethyl ether, propylene glycol monoethyl ether, propylene glycol monobutyl ether, diethylene glycol monomethyl ether; diethylene glycol monoethyl ether, diethylene glycol monobutyl ether and similar products). The polyols that come into consideration for that purpose have preferably from 2 to 15 carbon atoms and at least two hydroxy groups. The polyols may also contain further functional groups, especially amino groups, and/or may be modified with nitrogen. Typical examples are as follows: glycerol, alkylene glycols, for example ethylene glycol, diethylene glycol, propylene glycol, butylene glycol, hexylene glycol and also polyethylene glycols having an average molecular weight of from 100 to 1000 Dalton; technical-grade oligoglycerol mixtures having an intrinsic degree of condensation of from 1.5 to 10, for example technical-grade diglycerol mixtures having a diglycerol content of from 40 to 50 % by weight; methylol compounds, such as, especially, trimethylolethane, trimethylolpropane, trimethylolbutane, pentaerythritol and dipentaerythritol; lower alkyl-glucosides, especially those having from 1 to 8 carbon atoms in the alkyl radical, for example methyl and butyl glucoside; sugar alcohols having from 5 to 12 carbon atoms, for example sorbitol or mannitol; sugars having from 5 to 12 carbon atoms, for example glucose or saccharose; amino sugars, for example glucamine; dialcohol amines, such as diethanolamine or 2-amino-1,3-propanediol.

## Preservatives and bacteria-inhibiting agents

Suitable preservatives include, for example, methyl, ethyl, propyl and butyl parabens, benzalkonium chloride, 2-bromo-2-nitro-propane-1,3-diol, dehydroacetic acid, diazolidinyl urea, 2-dichloro-benzyl alcohol, DMDM hydantoin, formaldehyde solution, methyldibromo-glutanitrile, phenoxyethanol, sodium hydroxymethylglycinate, imidazolidinyl urea, triclosan

and further substance classes listed in the following reference: K.F.DePolo – A short textbook of cosmetology, Chapter 7, Table 7-2, 7-3, 7-4 and 7-5, p210-219.

# Bacteria-inhibiting agents

Typical examples of bacteria-inhibiting agents are preservatives that have a specific action against gram-positive bacteria, such as 2,4,4'-trichloro-2'-hydroxydiphenyl ether, chlorhexidine (1,6-di(4-chlorophenyl-biguanido)hexane) or TCC (3,4,4'-trichlorocarbanilide). A large number of aromatic substances and ethereal oils also have antimicrobial properties. Typical examples are the active ingredients eugenol, menthol and thymol in clove oil, mint oil and thyme oil. A natural deodorising agent of interest is the terpene alcohol farnesol (3,7,11-trimethyl-2,6,10-dodecatrien-1-ol), which is present in lime blossom oil. Glycerol monolaurate has also proved to be a bacteriostatic agent. The amount of the additional bacteria-inhibiting agents present is usually from 0.1 to 2 % by weight, based on the solids content of the preparations.

#### Perfume oils

There may be mentioned as perfume oils mixtures of natural and/or synthetic aromatic substances. Natural aromatic substances are, for example, extracts from blossom (lilies, lavender, roses, jasmine, neroli, ylang-ylang), from stems and leaves (geranium, patchouli, petitgrain), from fruit (aniseed, coriander, carraway, juniper), from fruit peel (bergamot, lemons, oranges), from roots (mace, angelica, celery, cardamom, costus, iris, calmus), from wood (pinewood, sandalwood, guaiacum wood, cedarwood, rosewood), from herbs and grasses (tarragon, lemon grass, sage, thyme), from needles and twigs (spruce, pine, Scots pine, mountain pine), from resins and balsams (galbanum, elemi, benzoin, myrrh, olibanum, opoponax). Animal raw materials also come into consideration, for example civet and castoreum. Typical synthetic aromatic substances are, for example, products of the ester, ether, aldehyde, ketone, alcohol or hydrocarbon type. Aromatic substance compounds of the ester type are, for example, benzyl acetate, phenoxyethyl isobutyrate, p-tert-butylcyclohexyl acetate, linalyl acetate, dimethylbenzylcarbinyl acetate, phenylethyl acetate, linalyl benzoate, benzyl formate, ethylmethylphenyl glycinate, allylcyclohexyl propionate, styrallyl propionate and benzyl salicylate. The ethers include, for example, benzyl ethyl ether; the aldehydes include, for example, the linear alkanals having from 8 to 18 hydrocarbon atoms, citral, citronellal, citronellyl oxyacetaldehyde, cyclamen aldehyde, hydroxycitronellal, lilial and bourgeonal; the ketones include, for example, the ionones, isomethylionone and methyl

cedryl ketone; the alcohols include, for example, anethol, citronellol, eugenol, isoeugenol, geraniol, linalool, phenyl ethyl alcohol and terpinol; and the hydrocarbons include mainly the terpenes and balsams. It is preferable, however, to use mixtures of various aromatic substances that together produce an attractive scent. Ethereal oils of relatively low volatility, which are chiefly used as aroma components, are also suitable as perfume oils, e.g. sage oil, camomile oil, clove oil, melissa oil, oil of cinnamon leaves, lime blossom oil, juniper berry oil, vetiver oil, olibanum oil, galbanum oil, labdanum oil and lavandin oil. Preference is given to the use of bergamot oil, dihydromyrcenol, lilial, lyral, citronellol, phenyl ethyl alcohol, hexyl cinnamaldehyde, geraniol, benzyl acetone, cyclamen aldehyde, linalool, boisambrene forte, ambroxan, indole, hedione, sandelice, lemon oil, tangerine oil, orange oil, allyl amyl glycolate, cyclovertal, lavandin oil, muscatel sage oil, damascone, bourbon geranium oil, cyclohexyl salicylate, vertofix coeur, iso-E-Super, Fixolide NP, evernyl, iraldein gamma, phenylacetic acid, geranyl acetate, benzyl acetate, rose oxide, romillat, irotyl and floramat alone or in admixture with one another.

## **Colorants**

There may be used as colorants the substances that are suitable and permitted for cosmetic purposes, as compiled, for example, in the publication "Kosmetische Färbemittel" of the Farbstoffkommission der Deutschen Forschungsgemeinschaft, Verlag Chemie, Weinheim, 1984, pages 81 to 106. The colorants are usually used in concentrations of from 0.001 to 0.1 % by weight, based on the total mixture.

#### Other adjuvants

It is furthermore possible for the cosmetic preparations to comprise, as adjuvants, antifoams, such as silicones, structurants, such as maleic acid, solubilisers, such as ethylene glycol, propylene glycol, glycerol or diethylene glycol, opacifiers, such as latex, styrene/PVP or styrene/acrylamide copolymers, complexing agents, such as EDTA, NTA, alaninediacetic acid or phosphonic acids, propellants, such as propane/butane mixtures, N<sub>2</sub>O, dimethyl ether, CO<sub>2</sub>, N<sub>2</sub> or air, so-called coupler and developer components as oxidation dye precursors, reducing agents, such as thioglycolic acid and derivatives thereof, thiolactic acid, cysteamine, thiomalic acid or mercaptoethanesulfonic acid, or oxidising agents, such as hydrogen peroxide, potassium bromate or sodium bromate.

Suitable insect repellents are, for example, N,N-diethyl-m-toluamide, 1,2-pentanediol or insect repellent 3535; suitable self-tanning agents are, for example, dihydroxyacetone and/or erythrulose or dihydroxy acetone and/or dihydroxy acetone precursors as described in WO 01/85124 and/or erythrulose.

# Polymeric beads or hollow spheres as SPF enhancers

The combination of the UV-absorbers and UV-absorber combinations, listed above, with SPF enhancers, such as non-active ingredients like styrene/acrylate copolymers, silica beads, spheroidal magnesium silicate, crosslinked polymethylmethacrylates (PMMA; Micopearl M305 Seppic), can maximize the UV protection of the sun products. Hollow-sphere additives (Sunspheres® ISP, Silica Shells Kobo.) deflect radiation and the effective path length of the photon is therefore increased (EP 0 893 119). Some beads, as mentioned previously, provide a soft feel during spreading. Moreover, the optical activity of such beads, e.g. Micropearl M305, can modulate skin-shine by eliminating reflection phenomena and may indirectly scatter the UV light.

# Cosmetic or pharmaceutical preparations

Cosmetic or pharmaceutical formulations are contained in a wide variety of cosmetic preparations. There come into consideration, for example, especially the following preparations:

- skin-care preparations, e.g. skin-washing and cleansing preparations in the form of tablet-form or liquid soaps, soapless detergents or washing pastes;
- bath preparations, e.g. liquid (foam baths, milks, shower preparations) or solid bath preparations, e.g. bath cubes and bath salts;
- skin-care preparations, e.g. skin emulsions, multi-emulsions or skin oils;
- cosmetic personal care preparations, e.g. facial make-up in the form of day creams or powder creams, face powder (loose or pressed), rouge or cream make-up, eye-care preparations, e.g. eyeshadow preparations, mascara, eyeliner, eye creams or eye-fix creams; lip-care preparations, e.g. lipsticks, lip gloss, lip contour pencils, nail-care preparations, such as nail varnish, nail varnish removers, nail hardeners or cuticle removers;
- foot-care preparations, e.g. foot baths, foot powders, foot creams or foot balsams, special deodorants and antiperspirants or callus-removing preparations;

- light-protective preparations, such as sun milks, lotions, creams or oils, sunblocks or tropicals, pre-tanning preparations or after-sun preparations;
- skin-tanning preparations, e.g. self-tanning creams;
- depigmenting preparations, e.g. preparations for bleaching the skin or skin-lightening preparations;
- insect-repellents, e.g. insect-repellent oils, lotions, sprays or sticks;
- deodorants, such as deodorant sprays, pump-action sprays, deodorant gels, sticks or roll-ons;
- antiperspirants, e.g. antiperspirant sticks, creams or roll-ons;
- preparations for cleansing and caring for blemished skin, e.g. synthetic detergents (solid or liquid), peeling or scrub preparations or peeling masks;
- hair-removal preparations in chemical form (depilation), e.g. hair-removing powders, liquid hair-removing preparations, cream- or paste-form hair-removing preparations, hair-removing preparations in gel form or aerosol foams;
- shaving preparations, e.g. shaving soap, foaming shaving creams, non-foaming shaving creams, foams and gels, preshave preparations for dry shaving, aftershaves or aftershave lotions;
- fragrance preparations, e.g. fragrances (eau de Cologne, eau de toilette, eau de parfum, parfum de toilette, perfume), perfume oils or perfume creams;
- cosmetic hair-treatment preparations, e.g. hair-washing preparations in the form of shampoos and conditioners, hair-care preparations, e.g. pretreatment preparations, hair tonics, styling creams, styling gels, pomades, hair rinses, treatment packs, intensive hair treatments, hair-structuring preparations, e.g. hair-waving preparations for permanent waves (hot wave, mild wave, cold wave), hair-straightening preparations, liquid hair-setting preparations, hair foams, hairsprays, bleaching preparations, e.g. hydrogen peroxide solutions, lightening shampoos, bleaching creams, bleaching powders, bleaching pastes or oils, temporary, semi-permanent or permanent hair colourants, preparations containing self-oxidising dyes, or natural hair colourants, such as henna or camomile.

#### **Presentation forms**

The final formulations listed may exist in a wide variety of presentation forms, for example:

- in the form of liquid preparations as a W/O, O/W, O/W/O, W/O/W or PIT emulsion and all kinds of microemulsions,
- in the form of a gel,
- in the form of an oil, a cream, milk or lotion,
- in the form of a powder, a lacquer, a tablet or make-up,
- in the form of a stick,
- in the form of a spray (spray with propellent gas or pump-action spray) or an aerosol,
- in the form of a foam, or
- in the form of a paste.

Of special importance as cosmetic preparations for the skin are light-protective preparations, such as sun milks, lotions, creams, oils, sunblocks or tropicals, pretanning preparations or after-sun preparations, also skin-tanning preparations, for example self-tanning creams. Of special interest are sun protection creams, sun protection lotions, sun protection milk and sun protection preparations in the form of a spray.

Of special importance as cosmetic preparations for the hair are the above-mentioned preparations for hair treatment, especially hair-washing preparations in the form of shampoos, hair conditioners, hair-care preparations, e.g. pretreatment preparations, hair tonics, styling creams, styling gels, pomades, hair rinses, treatment packs, intensive hair treatments, hair-straightening preparations, liquid hair-setting preparations, hair foams and hairsprays. Of special interest are hair-washing preparations in the form of shampoos.

A shampoo has, for example, the following composition: from 0.01 to 5 % by weight of a UV absorber according to the invention, 12.0 % by weight of sodium laureth-2-sulfate, 4.0 % by weight of cocamidopropyl betaine, 3.0 % by weight of sodium chloride, and water ad 100%.

For example, especially the following hair-cosmetic formulations may be used:

a<sub>1</sub>) spontaneously emulsifying stock formulation, consisting of the UV absorber according to the invention, PEG-6-C<sub>10</sub>oxoalcohol and sorbitan sesquioleate, to which water and any desired quaternary ammonium compound, for example 4 % minkamidopropyl dimethyl-2-hydroxyethylammonium chloride or Quaternium 80, is added;

- a<sub>2</sub>) spontaneously emulsifying stock formulation consisting of the UV absorber according to the invention, tributyl citrate and PEG-20-sorbitan monooleate, to which water and any desired quaternary ammonium compound, for example 4 % minkamidopropyl dimethyl-2-hydroxyethylammonium chloride or Quaternium 80, is added;
- b) quat-doped solutions of the UV absorber according to the invention in butyl triglycol and tributyl citrate;
- c) mixtures or solutions of the UV absorber according to the invention with n-alkylpyrrolidone.

Other typical ingredients in such formulations are preservatives, bactericides and bacterio-static agents, perfumes, dyes, pigments, thickening agents, moisturising agents, humectants, fats, oils, waxes or other typical ingredients of cosmetic and personal care formulations such as alcohols, poly-alcohols, polymers, electrolytes, organic solvents, silicon derivatives, emollients, emulsifiers or emulsifying surfactants, surfactants, dispersing agents, anti-irritants and anti-inflammatory agents etc..

## Examples of cosmetic and pharmaceutical preparations (X = preferred combinations)

#### O/W systems:

Orva Systems.			T	T =		Т	1==	10
Ingredients	1 1	2	<u>3</u>	4	5	<u>6</u>	7	8
Potassium cetyl phosphate 2%-5%	X							
Cetearyl alcohol/dicetyl phosphate/ceteth-10 phosphate 2 % - 6 %		X						
Sodium stearyl phthalamate 1 % - 2 %			X				~~	
Cetearyl alcohol/behentrimonium methosulfate 1 % - 5 %				X				
Quaternium-32 1 % - 5 %					X			
Dimethicone copolyol/caprylic/capric triglyceride						X		
1 % - 4 %								
Steareth-2/steareth-21 2 % - 5 %							<u> </u>	
Polyglyceryl methyl glucose distearate 1%-4%								X
Lipophilic emollient/dispersant oil 15 % - 20 %	X	X	X	X	X	<u> </u>	X	X
Fatty alcohols and/or waxes 1 % - 5 %	X	X	X	X	X	<u> </u>	X	X
Thickeners (water-swellable thickeners) 0.5 % - 1.5 %	X	X	X	X	X	X	<u> </u>	X
Preservatives 0.5 % - 1 %	X	X	X	X	X	X	X	X
Chelating agents (such as EDTA) 0 % - 0.2 %	X	X	X	X	X	_X	_X_	X
Antioxidants 0.05 % - 0.2 %	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X	X	X	X	X
UV absorber according to the invention 0.1 % - 20 %	X	X	X	X	Х	X	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X	X	X	X	Х

## W/O systems

Ingredients	1	2	3	4	5
Polyglyceryl-2 dipolyhydroxystearate 2 % -4 %	X	X	X	X	X
PEG-30 dipolyhydroxystearate 2 % - 4 %		X	<del>                                     </del>	<del>  ^</del>	+^-
Rapeseed oil sorbitol esters 1 % - 5 %			X	_	
PEG-45/dodecyl glycol copolymer 1 % - 5 %		-		X	<b></b>
Sorbitan oleate/polycerol-3 ricinoleate 1%-5%					X
Lipophilic emollient/dispersant oil 10 % - 20 %	X	X	X	X	X
Fatty alcohols and/or waxes 10 % - 15 %	X	X	X	X	X
Electrolytes (NaCl, MgSO <sub>4</sub> ) 0.5 % - 1 %	X	X	X	X	X
Polyol phase (propylene glycol, glycerol) 1 % - 8 %	X	X	X	X	X
Preservatives 0.3 % - 0.8 %	X	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X	X
Chelating agents (such as EDTA) 0 % - 0.2 %	X	X	X	X	X
Antioxidants 0.05 % - 0.2 %	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X
UV absorber according to the invention 0.1 % - 20 %	X	X	X	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X	X

# W/silicone systems

Ingredients	1	2	3	4
Dimethicone copolyol/cyclomethicone 5 % - 10 %	X		X	<del> </del>
Laurylmethicone copolyol 5 % - 10 %		X		X
Silicone phase		<del>                                     </del>	-	+~
Cyclopentasiloxane 15 % - 25 %	X			X
Dimethicone 15 % - 25 %		X	X	-
Silicone elastomer			1	<del> </del>
Dimethicone/vinyldimethicone crosspolymer 1 % - 10 %	X	X	X	X
Humectant/polyols (propylene glycol, glycerol) 2 % -8 %	X	X	X	X
Chelating agents (such as EDTA) 0 % - 0.2 %	X	X	X	X
Antioxidants 0.05 % - 0.2 %	X	X	X	X
Preservatives 0.3 % - 0.8 %	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X
Water, deionised q.s. 100 %	X	X	X	$\hat{\mathbf{x}}$
UV absorber according to the invention 0.1 % - 20 %	X	X	X	$\hat{\mathbf{x}}$
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X

# Multiple emulsions

<u>Ingredients</u>	1	2	3	4	5	<u>6</u>	7	8	9	10	11	12
Primary emulsion W1/O							<del> </del> -	╁─-				<del> </del>
PEG-30 dipolyhydroxystearate 2 % - 6 %	X									X		X
Cetyl dimethicone copolyol 1 % - 3 %		X							X			
PEG-30 dipolyhydroxystearate/ steareth-2/steareth-21 4 % - 6 %			X					X				

Ingredients	1	2	3	4	<u>5</u>	<u>6</u>	7	8	9	<u>10</u>	11	12
Polyglyceryl-2 dipolyhydroxy- stearate 1 % - 3 %				X			X					
Polyglyceryl-6 ricinoleate 1 % - 3 %					X	Х					X	
Oil phase 15 % - 30 %												
Fatty acid esters	X	X	X	X	X						X	Х
Natural and synthetic triglycerides						X	X	X	X	X	X	X
Hydrocarbon oils	X	X	X	X	X						X	X
Silicone oils						X	X	X	X	X	X	X
Preservatives 0.3 % - 0.8 %	X	X	X	X	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X	X	X	X	X
lonic monofunctional O/W emulsifiers												
Sorbitan stearate/sucrose cocoate 3 % - 7 %	X							X				X
Sucrose laurate 3 % - 7 %		X					X				X	
Poloxamer 407 3 % - 7 %			X			X			X			
Polyoxyethylene (20) sorbate monoleate 3% - 5%				X	X					X		
Primary emulsion W1/O 50 %	X	X	X	X	X	X	X	X	X	X	X	X
Thickeners (water-swellable polymers) 0.3 % - 1 %	X	X	X	X	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X	X	X	X	X	X	X	X	X
UV absorber according to the invention 0.1 % - 20 %	X	X	X	X	X	X	X	X	X	X	X	X
UV absorber as described in Tables 1-3 0% - 30%	X	X	X	X	X	X	X	X	X	X	X	X

## O1/W/O2 emulsions

<u>Ingredients</u>	1	2	3	4	5	<u>6</u>	7	8
Primary emulsion O1/W								
PEG-60 hydrogenated castor oil 25 %	X			X	X			X
Steareth-25 25 %		X	X			X	X	
Oil phase 75 %								
Fatty acid esters	X		X					
Natural and synthetic triglycerides		X		X				
Hydrocarbon oils					X		<u> </u>	
Silicone oils						X	4	X
Preservatives 0.3 % - 0.8 %	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X
Non-ionic multifunctional W/O emulsifier	X	X	X	X	X	X	X	X
2%-5% Waxes 1%-5%	X	X	X	X	X	X	X	X
Oil phase 20 % - 30 %	X	X	X	X	X	X	X	X
Fatty acid esters								
Natural and synthetic triglycerides								

Ingredients	1	2	3	4	5	6	7	8
Hydrocarbon oils	-	-				<del> </del>		
Silicone oils			_					
Primary emulsion O1/W 15 %	X	X	X	X	X	X	X	X
Electrolytes (NaCl, MgSO <sub>4</sub> ) 0.1 % - 0.5 %	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X	X	X	X	X
UV absorber according to the invention 0.1 % - 20 %	X	X	X	X	X	X	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X	X	X	X	X

# Microemulsions

Ingredients	1	2	3	4	5	6	7	8	9	10
Surfactants				<del> </del>	-	<del> </del>	-	-	<del> </del> _	+
PEG-8 caprylic/capric glycerides 10 % - 25 %	X			X	X	<del> </del>		X	X	+
PPG-5-ceteth-20 10 % - 25 %		X	X			X	X	1	+~	X
Co-surfactants										
Polyglyceryl-6 isostearate 5 % - 15 %	X		X			1		<del>                                     </del>	<del>                                     </del>	
Polyglyceryl-3 diisostearate 5 % - 15 %		X		X					<del>                                     </del>	<del> </del>
Polyglyceryl-6 dioleate 5 % - 15 %					X		X			<del>                                     </del>
PPG-10 cetyl ether 5 % - 15 %						X		X		<del> </del>
Ethoxydiglycol 5 % - 15 %									X	X
Oil phase 10 % - 80 %	X	X	X	X	X	X	X	X	X	X
Isostearyl benzoate	X	X	X	X	X	X	X	X	X	X
Isostearyl isostearate	X	X	X	X	X	X	X	X	X	X
PEG-7 glyceryl cocoate	X	X	X	X	X	X	X	X	X	X
Cyclomethicone	X	X	X	X	X	X	X	X	X	X
Polyalcohols/humectants 1 % - 10 %	X	X	X	X	X	X	X	X	X	X
Preservatives 0.3 - 0.8 %	X	X	X	X	X	X	X	X	X	X
Perfume oils 0.1 % - 0.4 %	X	X	X	X	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X	X	X
UV absorber according to the invention	X	X	X	X	X	X	X	X	X	X
0.1 % - 20 %										
UV absorber as described in Tables 1-3	X	X	X	X	X	X	X	X	X	X
0 % - 30 %										<del>-</del>

## O/W spray emulsions

Ingredients	1	2	3	4	5	6
Alkyl phosphates 0.1 % - 5 %	X		_	X	X	
Glucosidic derivatives 0.1 % - 5 %		X	X	-		+ x
Solubilisers	***************************************					
Ethoxylated glyceryl ethers 0.1 % - 1 %	X		X			_
Polysorbates 0.1% - 1%		X		$\mathbf{x}$		
Ethoxylated oleyl ethers 0.1 % -1 %		1			x	Y
Film-forming agents					1~	1
PVP/VA copolymer 1 % - 10 %	X		X	<del> </del> -	X	

Ingredients	1	2	3	4	<u>5</u>	<u>6</u>
PVM/MA copolymer 1 % - 10 %		X		X		X
Oil phase 5 % - 20 %	X	X	X	X	X	X
Natural oils (meadowfoam, jojoba, macadamia)	X	X	X	X	X	X
Fatty acids esters	X	X	X	X	X	X
Mineral oils	X	X	<u> </u>	X	X	X
Silicone oils	<u> </u>	X	X	X	X	X
Alcohol 0 % - 50 %	X	X	<u>X</u>	X	X	X
Thickeners 0.1 % - 0.5 %	X	X	X	X	X	X
Polyacrylates	X	X	X	X	X	X
Aluminium/magnesium silicates	X	X	X	X	X	X
Gums	X	X	X	X	X	X
Neutralising agents 0 % - 1 %	X	X	X	X	X	X
Polyalcohols/humectants 1 % - 5 %	X	X	X	X	X	X
Chelating agents (such as EDTA) 0 % - 0.2 %	X	X	X	X	X	X
Antioxidants 0.05 % - 0.2 %	X	X	X	X	X	X
Water, deionised, q.s. 100 %	X	X	X	X	X	X
Perfume oils 0.1 % - 0.5 %	X	X	X	X	X	X
Preservatives 0.4 % - 1 %	X	X	X	X	X	X
UV absorber according to the invention 0.1% - 20 %	<u> X</u>	X	X	X	X	X
UV absorber as described in Tables 1-3 0% - 30%	<u> </u>	X	<u> </u>	<u>  X</u>	<u>  X</u>	X

## G - Aqueous

Ingredients	1	2	3	4	<u>5</u>	<u>6</u>	<u>7</u>	<u>8</u>	9	<u>10</u>	11	12
Natural thickener 1%-5%	X					X	X					X
Semi-synthetic thickener		X			X			X			X	
1 % -5%												
Synthetic thickener 0.3 % - 1.3 %			X	X					X	X		1
Neutralising agents 0.5 % - 1.5 %	X	X	X	X	X	X	X	X	X	X	X	X
Polyols – humectants 5 % - 50 %	X	X	X	X	X	X	X	X	X	X	X	X
Polyquaternium series 1 % - 5 %	X	X	X				X	X	X		-	
PVM/MA copolymer 1 % - 5 %				X	X	X				X	X	X
Preservatives 0.5 % - 1 %	X	X	X	X	X	X	X	X	X	X	X_	<u> </u>
Chelating Agents (as EDTA)	X	X	X	X	X	X	X	X	X	X	X	X
< 0.1 %					<u> </u>						1	+
Water, deionised, q.s. 100 %	X	X	X	X	X	X	X	X	X	X	X	X
Perfume oils 0.05 % - 0.4 %	X	X	X	X	X	X	X	X	X	X	X	X
Ethoxylated glyceryl ethers	X	X	X									
0.1 % - 5 %		ļ		<u> </u>				<u> </u>	<del> </del>			
Polysorbates 0.1 % - 5 %		<u> </u>		X	X	X	-		-		<del> </del>	1
Ethoxylated oleyl ethers	1					:	X	X	X	X	X	X
0.1 % - 5 %					<u> </u>	1	-	-	<del> </del>			
UV absorber according to the	X	X	X	X	X	X	X	X	X	X	X	X
invention 0.1 % - 20 %					-	1		<del> </del>	1	1		1
UV absorber as described in	X	X	X	X	X	X	X	X	X	X	X	X
Tables 1-3 0 % - 30 %										<u> </u>	<u> </u>	

# <u>Oleogels</u>

Ingredients	1	2	3	4	5	6	7	8	9	10
Hydrogenated lecithin 1 % - 10 %	X				+-		+			X
Silica dimethyl silylate 1 % - 10 %		X	~ <del> </del> ~~~			1	†		X	17
Silica 1 % - 5 %			X			~		$\mathbf{x}$	<b></b>	
C <sub>24-28</sub> Alkyl dimethicone 1%-5%				X	1	_	X	+	<del> </del>	
Aluminium or magnesium stearate 1 % - 5 %					X	X				
Polyols – humectants 5 % - 70 %	X	X	X	X	X	X	X	X	X	X
Oil phase 20 % - 90 %							1			1
Dicaprylyl ether	X	1				X		X		
Phenyl trimethicone		X					X			
Hydrogenated polyisobutene			X							
Isopropyl isostearate				X					X	<del>                                     </del>
Oleogel base (mineral oil and hydrogenated					X					X
butylene/ethylene or ethylene/propylene										
styrene copolymer)										
Silicone wax 1 % - 10 %	X	X	X	X	X	X	X	X	X	X
Dimethiconol behenate	X	X	X	X	X	X	X	X	X	X
Dimethiconol stearate	X	X	X	X	X	X	X	X	X	X
Perfume oils 0.1 % - 0.5 %	X	X	X	X	X	X	X	X	X	X
Antioxidants 0.05 % - 0.2 %	X	X	X	X	X	X	X	X	X	X
UV absorber according to the invention 0.1% - 20 %	X	X	X	X	X	X	X	X	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X	X	X	X	X	X	X

# Light/dry cosmetic oils

Ingredients	1	2	3	4
Hydrocarbon oils 30 % - 70 %	X		╁──	X
Fatty acid esters, branched or unbranched 10 % - 50 %		X	X	+
Light-feel agent	***************************************			-
Silicones/siloxanes 0 % - 10 %	X		X	†
Perfluorinated oils and perfluoroethers 0 % - 10 %		X		X
Viscosifying agents 0 % - 10 %	X	X	X	X
Esters of long-chain acids and alcohols 0%-2%	X	X	X	X
Antioxidants 0.1 % - 1 %	X	X	X	X
Solubilisers/dispersing agents 0 % - 5 %	X	X	X	X
Perfume oils 0.1 % - 0.5 %	X	X	X	X
UV absorber according to the invention 0.1 % - 20 %	X	X	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X	X	X

## Foaming/mousse products

Ingredients	
SD Alcohol 40 0 % - 8 %	X
Propellant 8 % - 15 %	X

Nonionic emulsifier/surfactant 0.5 % - 3 %	X
Corrosion Inhibitor 0 % - 1 %	X
Perfume oils 0.1 % - 0.5 %	X
Preservatives 0.1 %-1 %	X
Miscellaneous 0 %-1%	X
UV absorber according to the invention 0.1 % - 20 %	X
UV absorber as described in Tables 1-3 0 % - 30 %	X

## Stick products

Ingredients	
Waxes 15 % - 30 %	X
Natural and silicone oils 20 % - 75 %	X
Lanolin derivatives 5 % - > 50 %	X
Esters of lanolin	X
Acetylated lanolin	X
Lanolin oil	X
Colorants and pigments 10 % - 15 %	X
Antioxidants 0.1 % - 0.8 %	X
Perfume oils 0.1 % - 2 %	X
Preservatives 0.1 % - 0.7 %	X
UV absorber according to the invention 0.1 % - 20 %	X
UV absorber as described in Tables 1-3 0 % - 30 %	X

## Liquid and compact

Ingredients	1	2
Powder phase 10 % - 15 %	X	
Oil phase 30 % - 40 %; 75 % (only for anhydrous form)	X	
Thickener/suspending agents 1 % - 5 %	X	
Film-forming polymers 1%-2%	X	
Antioxidants 0.1 % - 1 %	X	
Perfume oils 0.1 % - 0.5 %	X	
Preservatives 0.1 % - 0.8 %	X	
Water, deionised, q.s. 100 %	X	<u> </u>
Compact powder		
Powder phase 15 % - 50 %		X
Oil phase 15 % - 50 %		X
Polyol phase 5 % - 15 %		X
Antioxidants 0.1 % - 1 %		X
Perfume oils 0.1 % - 0.5 %		X
Preservatives 0.1 % - 0.8 %		X
For the two product forms		
UV absorber according to the invention 0.1 % - 20 %	X	X
UV absorber as described in Tables 1-3 0 % - 30 %	X	X

## Conditioning Shampoos

Ingradianta	· · · · · · · · · · · · · · · · · · ·
Ingredients	1 1
Primary surfactants (listed previously) 5 % - 10 %	X
Secondary surfactants (listed previously) 5 % - 15 %	X
Foam stabilisers (listed previously) 0 % - 5 %	X
Water, deionised, 40 % - 70 %	X
Actives 0 - 10 %	X
Conditioners	X
Refatting agents	X
Moisturising agents	X
Thickeners/rheology modifiers 0 % - 3 %	X
Humectants 0%-2%	X
pH-adjusting agents 0 % - 1 %	X
Preservatives 0.05 % - 1 %	X
Perfume oils 0.1 % - 1 %	X
Antioxidants 0.05 % - 0.20 %	X
Chelating agents (EDTA) 0 % - 0.2 %	X
Opacifying agents 0 % - 2 %	X
UV absorber according to the invention 0.1 % - 20 %	X
UV absorber as described in Tables 1-3 0 % - 30 %	X

In the Examples that follow, percentages are based on weight.

#### **Preparation Examples**

#### Example 1: Preparation of the compound MC 14:

A mixture of 6.04 g ethylenediamine and 31.15 g dimedone in 200 ml toluene is heated under reflux conditions using a water separator for three hours.

After cooling down the mixture the product is filtered off, washed with minor amounts of ethyl acetate and dried in vacuum at 80°C.

The yield is nearly quantitative.

Mp. > 250 °C.

7.61 g of the compound MC 14a are dissolved in 375 ml N-methylpyrrolidone at 100°C and mixed dropwise with 6.50 g dimethylsulfate.

The reaction mixture is stirred for 60 minutes at 100°C.

After cooling down to 80°C a mixture of 5.81 g cyan acidicacidethylester, 5.14 g triethylamine and 4.3 ml isopropanol is added slowly dropwise. The reaction mixture is stirred at a temperature of 100°C for 90 minutes.

After cooling down of the reaction mixture the raw product is filtered off.

Subsequent column chromatography with a mixture of toluene and methanol (6:4) over silica gel delivers 1.28 g (10 % o. th.) of a pure product which is dried in vacuum at 80°C.

 $\lambda_{\text{max}}$  (acetonitril) = 389 nm.

A mixture of 9.06 g dimedone and 2.78 g piperazine in 64 ml toluene is heated under reflux conditions using a water separator for five hours.

After cooling down the mixture the product is filtered off, washed with minor amounts of ethyl acetate and dried in vacuum at 80°C. Yield: 75 %.

A mixture of 9,06 g dimedone and 4,30 g 1,2-diamino-cyclohexane in 64 ml toluene is heated under reflux conditions using a water separator for three hours.

After cooling down the mixture the product is filtered off, washed with minor amounts of ethyl acetate and dried in vacuum at 80°C yielding 95 % product.

## Example 4: Preparation of micronized UV absorbers

100 parts of the compound of formula MC 14 are milled together with zirconium silicate bells (diameter: 0,1 to 4 mm) as grinding aids, a dispersing agent (15 parts of  $C_{8}$ - $C_{16}$ polyglucoside) and water (85 parts) in a ball mill to a mean particle size of  $d_{50}$  = 200nm. With this method a micropigment dispersion of a UV absorber is obtained.

## **Application Examples**

# Example 5: UV-A/UV-B Daily Care UV Protection Lotion

	INCI-Name	% w/w
		(as supplied)
Part A	Oleth-3 Phosphate	0.60
	Steareth-21	2.50

	INCI-Name	% w/w (as supplied)
	Steareth-2	1.00
	Cetyl Alcohol	0.80
	Stearyl Alcohol	1.50
	Tribehenin	0.80
	Isohexadecane	8.00
	Ethylhexyl Methoxycinnamate	5.00
Part B	Water	qs to 100
	Glycerin	2.00
	UV-absorber dispersion as described in example 4	3.00
	Disodium EDTA	0.10
Part C	Water	20.00
	Diazolidinyl Urea (and) lodopropynyl Butylcarbamate	0.15
	Propylene Glycol	4.00
Part D	Sodium Acrylates Copolymer (and) Paraffinium Liquidum (and) PPG-1 Trideceth-6	1.50
	Cyclopentasiloxane	4.50
	PEG-12 Dimethicone	2.00
	Tocopheryl Acetate	0.45
	Water (and) Citric Acid	qs
Part E	Fragrance	qs

Part A and part B are heated separately to 75°C. Part A is poured into part B under continuous stirring. Immediately after the emulsification, Cyclopentasiloxane and PEG-12 Dimethicone from part D are incorporated into the mixture. Afterwards the mixture is homogenized with an Ultra Turrax at 11 000 rpm for 30 sec. After cooling down to 65°C Sodium Acrylates Copolymer (and) Paraffinium Liquidum (and) PPG-1 Trideceth-6 are incorporated. Part C is added at a temperature < 50°C. At a temperature ≤ 35°C Tocopheryl Acetate is incorporated and subsequently the pH is adjusted with Water (and) Citric Acid. At room temperature part E is added.

#### **Example 6: UV Day Lotion**

	INCI-Name	% w/w (as supplied)
Part A	Cetyl Phosphate	1.75
	C12-C15 Alkyl Benzoate	5.00
	Cetearyl Alcohol/ PEG-20 Stearate	2.00
	Ethoxydiglycol Oleate	2.00
	Stearic Acid	1.50
	Ethylhexyl Methoxycinnamate	3.00
	Isononyi Isononanoate	2.00
Part B	Aqua	qs to 100
	Xanthan Gum	0.35
	UV-absorber dispersion as described in example 4	5.00
	Disodium EDTA	0.20
	Propylene Giycoi	2.00
	Diazolidinyl Urea (and) Methylparaben (and) Propylparaben (and) Propylene Glycol	0.70
	Glycerin	1.50
Part C	Cyclopentasiloxane (and) Dimethiconol	1.00
	Ethoxydiglycol	3.00
	Dimethicone	2.00
Part D	Triethanolamine	qs

## Manufacturing instruction:

Part A is prepared by incorporating all ingredients, then stirred under moderate speed and heated to 75°C. Part B is prepared and heated to 75°C. At this temperature part B is poured into part A under progressive stirring speed. Then the mixture is homogenized (30sec., 15000 rpm). At a temperature < 55°C the ingredients of part C are incorporated. The mixture is cooled down under moderate stirring, then the pH is checked and adjusted with triethanolamine.

#### **Example 7: Sun Protection Emulsion**

	INCI-Name	<u>% w/w</u>
5		(as supplied)
Part A	Cetearyl Alcohol (and) Dicetyl Phosphate (and) Ceteth-10 Phosphate	4.00

	INCI-Name	% w/w (as supplied)
	C12-15 Alkyl Benzoate	2.00
	Dicaprylyl Ether	3.00
	Ethoxydiglycol Oleate	2.00
	Stearic Acid	1.00
	Ethylhexyl Methoxycinnamate	3.00
	Sodium Acrylates Copolymer (and) Glycine Soja (and) PPG-1 Trideceth-6	0.30
	Squalane	3.50
Part B	Aqua	qs to 100
	UV-absorber dispersion as described in example 4	5.00
Part C	Diazolidinyl Urea (and) lodopropynyl Butylcarbamate	0.15
	Propylene Glycol	2.50
	Aqua	10.00
Part D	Cyclopentasiloxane, Dimethiconol	2.00
	Ethoxydiglycol	5.00
	Cyclopentasiloxane (and) Dimethicone/Vinyl-dimethicone Crosspolymer	2.00
Part E	Sodium Hydroxide	0.10

Part A is prepared by incorporating all ingredients, then stirred under moderate speed and heated to 75°C. Part B is prepared and heated to 75°C. At this temperature, part B is poured into part A under progressive stirring speed. Below 65°C the ingredients of part D are added separately. After cooling down under moderate stirring to 55°C part C is added. The pH is then checked and adjusted with sodium hydroxide. The mixture is homogenized for 30 sec at 16000rpm.

## **Example 8: Every Day Lotion**

	INCI-Name	<u>% w/w</u> (as supplied)
Part A	Stearyl Phosphate	5.00
	Tricontanyl PVP	1.00
	Ethoxydiglycol Oleate	3.00
	Squalane	5.00

	INCI-Name	% w/w
	C12-15 Alkyl Benzoate	(as supplied) 5.00
	Ethylhexyl Methoxycinnamate	3.00
	Glyceryl Stearate	2.00
	Cetyl Alcohol	2.00
Part B	Aqua	20.00
	UV-absorber dispersion as described in example 4	3.00
Part C	Aqua	qs to 100
	Steareth-10 Aliyl Ether/Acrylates Copolymer	0.50
	Glycerin	2.50
	Diazolidinyl Urea (and) lodopropynyl Butylcarbamate	0.15
	Sodium Lauroyl Glutamate	0.70
Part D	Cyclopentasiloxane (and) Dimethiconol	1.50
	Triethanolamine	1.85

Part A is prepared by incorporating all ingredients, then stirred under moderate speed and heated to 75°C. Part C is prepared and heated to 75°C. Part C is poured into the part A under moderate stirring. Immediately after the emulsification part B is added, then neutralized with a part of the triethanolamine. The mixture is homogenized for 30 sec. After cooling down under moderate stirring Cyclopentasiloxane (and) Dimethiconol are added. Below 35°C the pH is checked and adjusted with triethanolamine.

## Example 9: Sprayable Sunscreen Emulsion

	<u>INCI-Name</u>	% w/w (as supplied)
Part A	Ceteareth-15 (and) Glyceryl Stearate	3.00
	Stearyl Alcohol	1.00
	Cetyl Ricinoleate	0.80
	Dicaprylyl Ether	3.00
	C12-15 Alkyl Benzoate	3.00
	Isohexadecane	2.50
	Stearyl Dimethicone	1.00
	Ethylhexyl Methoxycinnamate	4.00

	INCI-Name	% w/w (as supplied)
	Cetyl Alcohol	0.80
	Di-C12-13 Alkyl Tartrate	3.00
Part B	Aqua	qs to 100
	Steareth-10 Allyl Ether/Acrylates Copolymer	0.45
	PEG-7 Glyceryl Cocoate	2.50
	Glycerin	2.00
	Propylene Glycol	3.00
Part C	Diazolidinyl Urea (and) lodopropynyl Butylcarbamate	0.15
	Aqua	20.00
	UV-absorber dispersion as described in example 4	12.00
	Titanium Dioxide (and) Silica (and) Sodium Polyacrylate	8.00
Part D	Cyclopentasiloxane (and) Dimethiconol	0.85
Part E	Sodium Hydroxide (and) Water	qs to pH 6.50 –7.00
Part F	Fragrance	qs

Part A and part B are heated up to 80°C. Part A is blended into part B under stirring and homogenized with an UltraTurrax at 11 000 rpm for 30 sec. Part C is heated to 60°C and added slowly to the emulsion. After cooling down to 40°C part D is incorporated at room temperature and part E is added.

## **Example 10: Daily Care Lotion**

	INCI-Name	<u>% w/w</u> (as supplied)
Part A	Polyglyceryl Methyl Glucose Distearate	2.50
	Cetearyi Alcohol	2.00
	Octyl Stearate	3.00
	Caprylic/Capric Triglyceride	4.00
	Isohexadecane	4.00
	Ethylhexyl Methoxycinnamate	2.70
Part B	Aqua	64.80
	Glycerin	5.00

	INCI-Name	% w/w
	Phenoxyethanol (and) Methylpombon (and) Putylpombon (and)	(as supplied)
	Phenoxyethanol (and) Methylparaben (and) Butylparaben (and) Ethylparaben (and) Propylparaben	0.50
	UV-absorber dispersion as described in example 4	8.00
Part C	Cyclomethicone (and) Dimethicone	3.00
Part D	Steareth-10 Allyl Ether/Acrylates Copolymer	0.50

Part A and B are heated to 75°C. Part A is added into part B under continuous stirring and homogenized with 11000 rpm for 1 minute. After cooling down to 50°C part C is added under continuous stirring. After cooling further down to 30°C part D is added. Afterwards the pH is adjusted between 6.00 - 6.50.

## Example 11: Daily Care with UV Protection

	<u>INCI-Name</u>	% w/w (as supplied)
Part A	Glyceryi Stearate SE	3.00
	Glyceryl Stearate and PEG-100 Stearate	3.50
	Cetyl Alcohol	1.50
	Myristyl Myristate	2.00
	Isopropyl Palmitate	2.50
	Paraffinum Perliquidum	5.00
	Octyl Dimethyl PABA	3.00
Part B	Aqua	qs to 100
	Propylene Glycol	7.50
	Phenoxyethanol (and) Methylparaben (and) Butylparaben (and)	1.00
	Ethylparaben (and) Propylparaben	
Part C	Aqua	30.00
•	UV-absorber dispersion as described in example 4	10.00
Part D	Sodium Acrylates Copolymer (and) Paraffinium Liquidum (and) PPG-1 Trideceth-6	2.00
Part E	Citric Acid	0.30

#### Manufacturing instruction:

Part A and B are heated separately to 75°C. After adding part B into part A the mixture is homogenized with Ultra Turrax for one minute at 11000 rpm. After cooling down to 50°C part

C is added. Afterwards the mixture is homogenized for one minute at 16000 rpm. At a temperature < 40°C part D is added. At room temperature the pH-value is adjusted with part E between 6.00 and 6.50.

# Example 12: O/W Every Day UV Protection Lotion

LAGITIPIO	INCI-Name	<u>% w/w</u>
		(as supplied)
Part A	Glyceryl Stearate (and) PEG-100 Stearate	5.00
	Stearyl Alcohol	1.00
	Tripalmitin	0.70
	Dimethicone	2.00
	C12-15 Alkyl Benzoate	5.00
	Isopropyl Palmitate	5.00
	Ethylhexyl Methoxycinnamate	3.00
Part B	Water	qs to 100
	Polysorbate 60	0.50
	Glycerin	3.00
Part C	Water	10.00
	UV-absorber dispersion as described in example 4	8.00
Part D	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	0.70
	Steareth-10 Allyl Ether/Acrylates Copolymer	1.50
Part E	Water (and) Sodium Hydroxide	qs
Part F	Fragrance	qs

#### Manufacturing instruction:

Part A and B are heated separately up to 75°C, part C is heated to 60°C. Afterwards part B is poured into part A under stirring. The mixture is homogenized with an Ultra Turrax for 30 sec. at 11 000 rpm and part C is incorporated. After cooling down to 40°C part D is added. At room temperature the pH-value is adjusted with Sodium Hydroxide between 6.30 and 6.70 and part F is added.

## Example 13: O/W Every Day UV Protection

	INCI-Name	% w/w (as supplied)
Part A	Glyceryl Stearate (and) PEG-100 Stearate	5.00

	INCI-Name	<u>% w</u> /w
	· · · · · · · · · · · · · · · · · · ·	(as supplied)
	Stearyl Alcohol	1.00
	Tripalmitin	0.70
	Dimethicone	2.00
	C12-15 Alkyl Benzoate	5.00
	Isopropyl Palmitate	5.00
	Ethylhexyl Methoxycinnamate	3.00
Part B	Water	qs to 100
	Polysorbate 60	0.50
	Glycerin	3.00
Part C	Water	10.00
	UV-absorber dispersion as described in example 4	8.00
Part D	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	0.70
_	Steareth-10 Allyl Ether/Acrylates Copolymer	1.50
Part E	Water (and) Sodium Hydroxide	qs
Part F	Fragrance	qs

Part A and B are heated separately up to 75°C, part C is heated to 60°C. Afterwards part B is poured into part A under stirring. The mixture is homogenized with an Ultra Turrax for 30 sec. at 11 000 rpm and part C is incorporated. After cooling down to 40°C part D is added. At room temperature the pH-value is adjusted with Sodium Hydroxide between 6.30 and 6.70 and part F is added.

## Example 14: Sunscreen Cream

	<u>INCI-Name</u>	<u>% w/w</u> (as supplied)
Part A	Cetearyl Alcohol (and) Dicetyl Phosphate (and) Ceteth-10 Phosphate	4.50
	C12-15 Alkyl Benzoate	6.00
	Caprylic/Capric Triglyceride	7.00
	Pentaerythritol Tetraisostearate	2.00
	Ethylhexyl Methoxycinnamate	3.00
	Isoamyl p-Methoxycinnamate	2.00

	<u>INCI-Name</u>	% w/w (as supplied)
Part B	Aqua	qs to 100
	Glycerin	2.00
	Propylene Glycol	1.50
	Magnesium Aluminium Silicate	1.20
Part C	Steareth-10 Allyl Ether/Acrylates Copolymer	0.50
	UV-absorber dispersion as described in example 4	12.00
Part D	Phenyl Trimethicone	1.50
	Phenoxyethanol (and) Methylparaben (and) Butylparaben (and)	0.70
Part E	Ethylparaben (and) Propylparaben Sodium Hydroxide	0.90

Part A and part B are heated separately to 75°C. Part B is added into part A under continuous stirring and afterwards homogenized with Ultra Turrax for 30sec at 11000 rpm . After cooling down to 60°C part C is added. At 40°C part C is added and homogenized for 15sec at 11000 rpm. At room temperature the pH-value is adjusted with part E.

## Example 15: UVA/UVB Daily Care Lotion, type O/W

Part A	INCI-Name Glyceryl Stearate (and) PEG-100 Stearate	% w/w (as supplied) 5.00
	Stearyl Alcohol	1.00
	Tripalmitin	0.70
	Mineral Oil	15.00
Part B	Water	qs to 100
	Polysorbate 60	0.50
	Glycerin	3.00
Part C	Water	10.00
	UV-absorber dispersion as described in example 4	8.00
Part D	Steareth-10 Allyl Ether/Acrylates Copolymer	1.50
	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	0.70
Part E		qs
Part F	Fragrance	qs

Part A and B are heated separately to 75°C; part C to 60°C. Part B is poured into part A under stirring. After one-minute of homogenization at 11000 rpm part C is added to the mixture of A/B. After cooling down to 40°C part D is incorporated. At room temperature the pH value is adjusted with part E between 6.3 and 7.0. Finally part F is added.

## Example 16: UVA/UVB Daily Care Lotion, type O/W

	INCI-Name	<u>% w/w</u>
Part A	Oleth-3 Phosphate	(as supplied) 0.60
	Steareth-21	2.50
	Steareth-2	1.00
	Cetyl Alcohol	0.80
	Stearyl Alcohol	1.50
	Tribehenin	0.80
	Isohexadecane	8.00
Part B	Water	qs to 100
	Glycerin	2.00
	Disodium EDTA	0.10
Part C	Cyclopentasiloxane	4.50
	PEG-12 Dimethicone	2.00
Part D	Sodium Acrylates Copolymer (and) Mineral Oil (and) PPG-1 Trideceth-6	1.50
Part E	UV-absorber dispersion as described in example 4	10.00
Part F	Tocopheryl Acetate	0.45
	DMDM Hydantoin (and) Iodopropynyl Butylcarbamate (and) Aqua (and) Butylene Glycol	0.85
Part G	Water (and) Citric Acid	qs
	Fragrance	qs

#### Manufacturing instruction:

Part A and part B are heated separately to 75°C. Part A is poured into part B under stirring. Immediately after the emulsification, part C is added to the mixture and homogenized with an Ultra Turrax at 11000 rpm for 30 sec. After cooling down to 65°C Sodium Acrylates Copolymer (and) Mineral Oil (and) PPG-1 Trideceth-6 At 50°C is added slowly to the UV absorber

dispersion. At about 35-30°C part F is incorporated. The pH is adjusted with part G between 5.5 and 6.5.

# Example 17: UV-A/UV-B Every Day Protection Lotion O/W

	INCI-Name	% w/w (as supplied) 2.00
Part A	Glyceryl Dilaurate	6.00
	Ethylhexyl Palmitate	
	Cetyl Alcohol	1.00
	Glyceryl Stearate	2.00
	Laureth-23	1.00
	Isopropyl Palmitate	2.00
	Tribehenin	0.80
	Beeswax	1.50
	Lanolin Oil	1.00
Part B	Water	qs to 100
	Propylene Glycol	4.00
	Water (and) Titanium Dioxide (and) Alumina (and) Sodium Meta- phosphate (and) Phenoxyethanol (and) Sodium Methylparaben	4.00
Part C		1.00
Part D	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	1.00
	UV-absorber dispersion as described in example 4	8.00
Part E	Water (and) Sodium Hydroxide	qs

#### Manufacturing instruction:

Part A and part B are heated separately up to 80°C. Part A is poured into part B while stirring and homogenized with an Ultra Turrax by 11000 rpm for 30 sec. After cooling down to 60°C part C is incorporated. At 40°C part D is added slowly under continuous stirring. The pH is adjusted with part E between 6.50 - 7.00.

## Example 18: Sprayable Sunscreen Lotion

	INCI-Name	% w/w (as supplied)
Part A	Potassium Cetyl Phosphate	0.20
	Isohexadecane	7.00
	VP/Eicosene Copolymer	1.50

	INCI-Name	<u>% w/w</u>
-	· · · · · · · · · · · · · · · · · · ·	(as supplied)
	Di-C12-13 Alkyl Tartrate	6.00
	Ethylhexyl Triazone	2.50
	C12-15 Alkyl Benzoate	4.50
Part B	Water	qs to 100
	Sorbeth-30	2.00
	Sorbitan Stearate (and) Sucrose Cocoate	4.00
	Titanium Dioxide (and) Alumina (and) Silica (and) Sodium Polyacrylate	2.50
Part C	Water	30.00
	UV-absorber dispersion as described in example 4	12.00
Part D	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) isobutylparaben	0.70
Part E	Water (and) Citric Acid	qs

Part A and part B are heated separately up to 80°C, part C is heated to 50°C. Part B is poured into part A and homogenized with an Ultra Turrax for 1 minute at 11000 rpm. After cooling down to 50°C part C is added under continuous stirring. At 40°C part D is incorporated and homogenized again for 10 sec. at 11000 rpm. The pH is adjusted with part E.

## Example 19: O/W Every Day UV Protection Lotion

	<u>INCI-Name</u>	% w/w (as supplied)
Part A	Glyceryl Stearate (and) PEG-100 Stearate	5.00
	Stearyl Alcohol	1.00
	Tripalmitin	0.70
	Dimethicone	2.00
	Caprylic/Capric Triglyceride	5.00
	Isopropyl Palmitate	5.00
	Ethylhexyl Methoxycinnamate	3.00
Part B	Water	qs to 100
	Polysorbate 60	0.50
	Glycerin	3.00
Part C	Water	10.00

	<u>INCI-Name</u>	% w/w (as supplied)
	UV-absorber dispersion as described in example 4	8.00
Part D	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and)	0.70
	Butylparaben (and) Propylparaben (and) Isobutylparaben	
	Steareth-10 Allyl Ether/Acrylates Copolymer	1.50
Part E	Water (and) Sodium Hydroxide	qs
Part F	Fragrance	qs

Part A and part B are heated separately up to 75°C, part C is heated to 60°C. Afterwards part B is poured into part A under stirring. The mixture is homogenized with an Ultra Turrax for 30 sec. at 11 000 rpm and part C is incorporated. After cooling down to 40°C part D is added. At room temperature the pH-value is adjusted with Sodium Hydroxide between 6.30 and 6.70 and part F is added.

Example 20: Water resistant Sunscreen Emulsion

	INCI-Name	% w/w (as supplied)
Part A	Polyglyceryl-10 Pentastearate (and) Behenyl Alcohol (and) Sodium Stearoyl Lactylate	2.50
	VP/Eicosene Copolymer	1.50
	Stearyl Alcohol	1.50
	Squalane	4.00
	C12-15 Alkyl Benzoate	7.50
	Octocrylene	1.50
	4-Methylbenzylidene Camphor	3.00
	Ethylhexyl Methoxycinnamate	2.00
Part B	Water	qs to 100
	Glycerin	1.80
	Steareth-10 Allyl Ether/Acrylates Copolymer	0.80
Part C	UV-absorber dispersion as described in example 4	9.00
Part D	VP/Hexadecene Copolymer	2.70
	Cyclomethicone	1.50
	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	0.70

	INCI-Name	<u>% w/w</u>
•		-(as supplied)
Part E	Aqua (and) Tocopheryl Acetate (and) Caprylic/Capric Triglyceride (and) Polysorbate 80 (and) Lecithin	3.50
Part F		qs
	Water (and) Sodium Hydroxide	qs

Part A and part B are heated separately to 80°C. Part A is poured into part B under continuous stirring. Afterwards the mixture is homogenized with an Ultra Turrax at 11 000 rpm for 1 min. After cooling down to 60°C part C is incorporated. At 40°C part D is added and the mixture homogenized for a short time again. At 35°C part E is added and at room temperature Fragrance is added. Finally the pH is adjusted with Sodium Hydroxide.

# Example 21: UVA/UVB Sun Protection Lotion, O/W type

	INCI-Name	<u>%</u> w/w
_		(as supplied)
Part A	Potassium Cetyl Phosphate	2.00
	Tricontanyl PVP	1.00
	Caprylic/Capric Triglyceride	5.00
	C12-15 Alkyl Benzoate	5.00
	Cetearyi Isononanoate	5.00
	Glyceryl Stearate	3.00
	Cetyl Alcohol	1.00
	Dimethicone	0.10
	Ethylhexyl Methoxycinnamate	5.00
Part B	Water	qs to 100
	Glycerin	3.00
Part C	Steareth-10 Allyl Ether/Acrylates Copolymer	0.50
Part D	UV-absorber dispersion as described in example 4	8.00
Part E	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	1.00
Part F	Water (and) Sodium Hydroxide	qs to pH 7.00
Part G	Fragrance	qs

## Manufacturing instruction:

Part A and part B are heated separately up to 80°C. Part B is poured into part A under moderate stirring. The mixture is homogenized with an Ultra Turrax at 11000 rpm for 1 minute. After cooling down to 70°C part C is added under stirring. After cooling further down to 50°C part D is incorporated very slowly. At 40°C part E is added. At room temperature the pH is adjusted with part F to 7.00 and part G is added.

Example 22: UVA/UVB Sun Protection Lotion, O/W type

. •	<u>INCI-Name</u>	% w/w (as supplied)
Part A	Potassium Cetyl Phosphate	2.00
	Tricontanyl PVP	1.00
	Caprylic/Capric Triglyceride	5.00
	C12-15 Alkyl Benzoate	5.00
	Cetearyl Isononanoate	5.00
	Glyceryl Stearate	3.00
	Cetyl Alcohol	1.00
	Dimethicone	0.10
	Ethylhexyl Methoxycinnamate	5.00
Part B	Water	qs to 100
	Glycerin	3.00
Part C	Steareth-10 Allyl Ether/Acrylates Copolymer	0.50
Part D	UV-absorber dispersion as described in example 4	20.00
Part E	Phenoxyethanol (and) Methylparaben (and) Ethylparaben (and) Butylparaben (and) Propylparaben (and) Isobutylparaben	1.00
Part F	Water (and) Sodium Hydroxide	qs to pH 7.00
Part G	Fragrance	qs

#### Manufacturing instruction:

Part A and part B are heated separately up to 80°C. Part B is poured into part A under moderate stirring. The mixture is homogenized with an Ultra Turrax at 11000 rpm for 1 minute. After cooling down to 70°C add part C is added under stirring. After cooling further down to 50°C part D is incorporated very slowly. At 40°C part E is added. At room temperature the pH is adjusted with part F to 7.00 and part G is added.

## **Example 23: Sunscreen Lotion**

	INCI-Name	% w/w (as supplied)
Part A	Cetearyl Alcohol (and) Dicetyl Phosphate (and) Ceteth-10 Phosphate C12-15 Alkyl Benzoate	4.00
		2.00
	Dicaprylyl Ether	3.00
	Ethoxydiglycol Oleate	2.00
	Stearic Acid	1.00
	Ethylhexyl Methoxycinnamate	3.00
	Sodium Acrylates Copolymer (and) Glycine Soja (and) PPG-1 Trideceth-6	0.30
	Squalane	3.50
	VP/Eicosene Copolymer	2.00
Part B	Water	qs to 100
	UV-absorber dispersion as described in example 4	5.00
Part C	Diazolidinyi Urea (and) lodopropynyl Butylcarbamate	0.15
	Propylene Glycol	2.50
	Water	10.00
Part D	Cyclopentasiloxane (and) Dimethiconol	2.00
	Ethoxydiglycol	5.00
	Cyclopentasiloxane (and) Dimethicone/Vinyl Dimethicone Crosspolymer	2.00
Part E	Aqua (and) Sodium Hydroxide	qs
Part F	Fragrance	qs

### Manufacturing instruction

Part A and part B are heated separately up to 75°C. Part B is poured into part A under progressive stirring speed. At a temperature < 65°C the ingredients of part D are added separately. After cooling down to 55°C under moderate stirring part C is added. At a temperature < 35°C the pH is checked and adjusted with Sodium Hydroxide and homogenized with an Ultra Turrax for 30 sec. at 11 000 rpm. Part F is added at room temperature.

## Example 26: W/O Sunscreen Lotion

	<u>INCI-Name</u>	<u>% w/w</u>
		(as supplied)
Part A	PEG-7 Hydrogenated Castor Oil	3.00

	INCI-Name	% w/w (as supplied)
	Polyglyceryl-3 Diisostearate	4.00
	Microcrystalline Wax	1.00
	Magnesium Stearate	1.50
	Propylparaben	0.10
	Mineral Oil	15.00
	Octyldodecanol	8.00
	Ethylhexyl Triazone	1.00
	Ethylhexyl Methoxycinnamate	2.00
Part B	Water	qs to 100
	Water (and) Citric Acid	0.05
	Methylparaben	0.15
	Magnesium Sulfate	0.50
Part C	UV-absorber dispersion as described in example 4	9.00
	Fragrance	qs

Part A is heated to 80°C whilst stirring. Part B is added into part A and homogenized with an Ultra Turrax at 11 000 rpm for one minute. After cooling down to 30°C part C is incorporated.

# Example 25: Skin Protection Sunscreen Lotion W/O

	INCI-Name	% w/w (as supplied)
Part A	Polyglyceryl-2 Dipolyhydroxystearate	3.00
	Glyceryl Oleate	3.00
	Cetearyl Isononanoate	7.00
	Hexyl Laurate	6.00
	Dicaprylyl Ether	6.00
	Propylparaben	0.10
	Hexyldecanol	3.00
	Magnesium Stearate	1.00
	Beeswax	1.00
	Ethylhexyl Methoxycinnamate	4.00
Part B	Water	qs to 100

	INCI-Name	<u>% w/w</u>
•	· · · · · · · · · · · · · · · · · · ·	 (as supplied)
	Methylparaben	0.15
	Magnesium Sulfate	1.00
Part C	UV-absorber dispersion as described in example 4	6.00

Part A is heated separately to 80°C under gentle stirring. Part B is added to part A and homogenized for one minute at 11000 rpm. After cooling down to 30°C part C is added under continuous stirring.

#### Example 26: O/W emulsion

	INCI-Name	% w/w
		(as supplied)
Part A	UV absorber of formula (MC 14)	3 g
	sesame oil	10 g
	glyceryl stearate	4 g
	stearic acid	1 g
	cetyl alcohol	0.5 g
	polysorbate 20	0.2 g
Part B	propylene glycol	4 g
	propylparaben	0.05 g
	methylparaben	0.15 g
	triethanolamine	0.1 g
	carbomer 934	0.1 g
	water	ad 100 ml

## Preparation of the emulsion

#### Phase (A):

Firstly, the UV absorber is dissolved in sesame oil. The other components of (A) are added thereto and combined.

#### Phase (B):

Propylparaben and methylparaben are dissolved in propylene glycol. 60 ml of water are then added, heating to 70°C is carried out and then carbomer 934 is emulsified therein.

#### **Emulsion:**

(A) is slowly added to (B) with vigorous application of mechanical energy. The volume is adjusted to 100 ml by the addition of water.

## Example 27: Daily care cream, type O/W

	INCI name	<u>% w/w</u> (as used)
Part A	Glyceryl stearate (and) cetearyl alcohol (and) cetyl palmitate (and) cocoglycerides Ceteareth-12	4.0 4.0
	Cetearyl alcohol	2.0
	Dicaprylyl ether	4.5
	Ethylhexyl stearate	4.0
	Hexyl laurate	3.5
	Ethylhexyl triazone	1.0
	Benzylidene malonate polysiloxane	2.0
	HDI/trimethylol hexyl-lactone crosspolymer (and) silica	5.0
	Stearyl dimethicone	1.0
	Dimethicone	2.0
	Cetyl alcohol	8.0
	compound of formula (MC 14)	2.0
Part B	Water	q.s. to 100
	Water (and) scieroglucan (and) phenoxyethanol	2.0
	Glycerol	2.0
Part C	Steareth-10 allyl ether/acrylate copolymer	0.45
	Phenoxyethanol (and) methylparaben (and) ethylparaben (and) butylparaben (and) propylparaben (and) isobutylparaben	0.7
Part D	Aqua (and) tocopheryl acetate (and) caprylic/capric triglyceride (and) polysorbate 80 (and) lecithin	4.0
Part E	Water (and) sodium hydroxide	q.s.
	Fragrance	q.s.

## Preparation procedure:

Part A and part B are heated separately to 80°C. Part A is poured into part B, whilst stirring continuously. Afterwards the mixture is homogenized with an Ultra Turrax at 11 000 rpm for

20 sec.. The mixture is cooled to 60°C and part C is added. At a temperature below 30°C, part D is added and the pH value is adjusted with sodium hydroxide to between 6.5 and 7.0. Finally, fragrance is added.

## Example 28: Sun-protection cream, type O/W

	INCI name	% w/w (as used)
Part A	Polyglyceryl-3 methylglucose distearate	2.0
	Decyl oleate	5.7
	Isopropyl palmitate	5.8
	Caprylic/capric triglyceride	6.5
	compound of formula (MC 14)	2.0
	Ethylhexyl methoxycinnamate	5.0
	Cetyl alcohol	0.7
Part B	Glycerol	3.0
	Carbomer	0.3
	Water	q.s. to 100
Part C	Phenoxyethanol (and) methylparaben (and) ethylparaben (and) butylparaben (and) propylparaben (and) isobutylparaben	0.5
Part D	Methylene bis-benzotriazolyl tetramethylbutylphenol (and) aqua (and) decyl glucoside (and) propylene glycol (and) xanthan gum	8.0
	Water	20.0
Part E	Water (and) sodium hydroxide	q.s.
	Fragrance	q.s.

#### Preparation procedure

Part A and part B are heated separately to 75°C. Part A is poured into part B whilst stirring. The mixture is homogenised with an Ultra Turrax at 11 000 rpm for 15 sec. The mixture is cooled to 60°C and part C and part D are incorporated. The mixture is homogenised again for a short time (5 sec./11 000 rpm) and further cooled, with moderate stirring. At room temperature, the pH is adjusted with sodium hydroxide solution to between 5.5 and 6.0. Finally, fragrance is added.

Example 29: Daily care UV-protection lotion

	INCI name	% w/w (as used)
Part A	Oleth-3 phosphate	0.6
	Steareth-21	2.5
	Steareth-2	1.0
	Cetyl alcohol	8.0
	Stearyl alcohol	1.5
	Tribehenin	8.0
	Isohexadecane	8.0
	compound of formula (MC 14)	5.0
Part B	Water	q.s. to 100
	Glycerol	2.0
	Methylene bis-benzotriazolyl tetramethylbutylphenol (and) aqua (and) decyl glucoside (and) propylene glycol (and) xanthan gum	3.0
	Disodium EDTA	0.1
Part C	Water	20.0
	Diazolidinyl urea (and) iodopropynyl butylcarbamate	0.15
	Propylene glycol	4.0
Part D	Sodium acrylate copolymer (and) liquid paraffin (and) PPG-1 trideceth-6	<b>1.5</b>
	Cyclopentasiloxane	4.5
	PEG-12 dimethicone	2.0
	Tocopheryl acetate	0.45
	Water (and) citric acid	q.s.
Part E	Fragrance	q.s.

#### Preparation procedure

Heat part A and part B separately to 75°C. Pour part A into part B, whilst stirring continuously. Immediately after emulsification, incorporate in the mixture SF 1202 and SF 1288 from part D. Afterwards homogenise with an Ultra Turrax at 11 000 rpm for 30 sec.. Allow to cool to 65°C and incorporate SALCARE® SC91. At a temperature below 50°C, add part C. At 35°C or below, incorporate vitamin E acetate and subsequently adjust the pH with citric acid. At room temperature, add part E.

## Example 30: Sun-protection cream, type O/W

	"INCI name	% w/w (as used)
Part A	Polyglyceryl-3 methylglucose distearate	2.0
	Decyl oleate	5.7
	Isopropyl palmitate	5.8
	Caprylic/capric triglyceride	6.5
	compound of formula (MC 14)	2.0
	Ethylhexyl methoxycinnamate	5.0
	Cetyl alcohol	0.7
Part B	Giycerol	3.0
	Carbomer	0.3
	Water	q.s. to 100
Part C	Phenoxyethanol (and) methylparaben (and) ethylparaben (and) butylparaben (and) propylparaben (and) isobutylparaben	0.5
Part D	Methylene bis-benzotriazolyl tetramethylbutylphenol (and) aqua (and) decyl glucoside (and) propylene glycol (and) xanthan gum	8.0
	Water	20.0
Part E	Water (and) sodium hydroxide	q.s.
	Fragrance	q.s.

#### Preparation procedure:

Part A and part B are heated separately to 75°C. Part A is poured into part B whilst stirring. The mixture is homogenised with an Ultra Turrax at 11 000 rpm for 15 sec.. The mixture is cooled to 60°C, and part C and part D are incorporated. The mixture is homogenised again for a short time (5 sec./11 000 rpm). After further cooling, with moderate stirring, the pH is adjusted with sodium hydroxide at room temperature. A solution between pH 5.50 and 6.00 is obtained. Finally, fragrance is added.

#### Example 31: Sun-protection cream, type O/W

	INCI name	<u>% w/w</u> (as used)
Part A	Polyglyceryl-3 methylglucose distearate	2.0
	Decyl oleate	5.7
	Isopropyl palmitate	5.8
	Caprylic/capric triglyceride	6.5

	INCI name	% w/w (as used)
	Mixture of the compound of formula (MC 14) (50 %) and Uvinul A Plus CAS Reg. No. 302776-68-7 (50 %)	2.0
	Ethylhexyl methoxycinnamate	5.0
	Cetyl alcohol	0.7
Part B	Glycerol	3.0
	Carbomer	0.3
	Water	q.s. to 100
Part C	Phenoxyethanoi (and) methylparaben (and) ethylparaben (and) butylparaben (and) propylparaben (and) isobutylparaben	0.5
Part D		8.0
	Water	20.0
Part E	Water (and) sodium hydroxide	q.s.
	Fragrance	q.s.

#### Preparation procedure:

Part A and part B are heated separately to 75°C. Part A is poured into part B whilst stirring. The mixture is homogenised with an Ultra Turrax at 11 000 rpm for 15 sec.. After cooling 60°C, part C and part D are incorporated. The mixture is homogenised again for a short time (5 sec./11 000 rpm). After further cooling, with moderate stirring, the pH is adjusted at room temperature with sodium hydroxide solution to between 5.50 and 6.00. Finally, fragrance is added.

#### Example 32: Sun-protection cream, type O/W

	INCI name	% w/w (as used)
Part A	Polyglyceryl-3 methylglucose distearate	2.0
	Decyl oleate	5.7
	Isopropyl palmitate	5.8
	Caprylic/capric triglyceride	6.5
	Mixture of compound of formula (MC 14) (50 %) and benzylidene camphor, CAS Reg. No. 36861-47-9 (50 %)	2.0
	Ethylhexyl methoxycinnamate	5.0
	Cetyl alcohol	0.7
Part B	Glycerol	3.0

	INCI name	% w/w (as used)
	Carbomer	0.3
	Water	q.s. to 100
Part C	Phenoxyethanol (and) methylparaben (and) ethylparaben (and) butylparaben (and) propylparaben (and) isobutylparaben	0.5
Part D	Methylene bis-benzotriazolyl tetramethylbutylphenol (and) aqua (and) decyl glucoside (and) propylene glycol (and) xanthan gum	8.0
	Water	20.0
Part E	Water (and) sodium hydroxide	q.s.
	Fragrance	q.s.

## Preparation procedure

Part A and part B are heated separately to 75°C. Part A is poured into part B whilst stirring. The mixture is homogenised with an Ultra Turrax at 11 000 rpm for 15 sec.. After cooling to 60°C, part C and part D are incorporated. The mixture is homogenised again for a short time (5 sec./11 000 rpm). After further cooling, with moderate stirring, the pH is adjusted at room temperature with sodium hydroxide. A solution between pH 5.50 and 6.00 is obtained. Finally, fragrance is added.

#### What is claimed is:

## 1. Use of a compound of formula

(1) 
$$\begin{bmatrix} R_1 & R_2 & R_3 \\ R_2 & R_4 \end{bmatrix}$$
, wherein

 $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; a cyano group; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

 $R_4$  is a cyano group; or  $-Q_1-R_5$ ;

Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>6</sub>-;

R<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R<sub>6</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R<sub>7</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m is from 3 to 7;

n is from 2 to 4;

the cyclohexene radical C is not substituted or substituted by one or more  $C_1$ - $C_5$ alkyl; if n = 2,

R<sub>1</sub> is an alkylene- or cycloalkylene radical; or R<sub>1</sub> and R<sub>2</sub> simultaneously form an alkylene, cycloalkylene or phenylene radical; and

R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7- membered, monocyclic carbocyclic ring;

or, alternatively

R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and

R<sub>1</sub> simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by –NR<sub>7</sub>-;

if n = 3,

- R<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or -NR<sub>7</sub>-groups; and
- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7-membered, monocyclic carbocyclic ring;

or, alternatively

R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and

R<sub>1</sub> simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

if n = 4,

R<sub>1</sub> is a tetravalent alkyl group; and

R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7- membered, monocyclic carbocyclic ring;

or, alternatively

R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and

simultaneously is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

in protecting human and animal hair and skin from UV radiation.

2. Use according claim 1, wherein

R<sub>3</sub> is a cyano group;

R<sub>4</sub> is -CONHR<sub>5</sub>;

 $R_5$  is  $C_1$ - $C_{22}$ alkyl; or  $C_6$ - $C_{20}$ aryl;

R<sub>1</sub> is hydrogen; and

R<sub>2</sub> is defined as in claim (1).

3. Use according to claim 1, wherein

if n = 2,

compounds of formula

(1a) 
$$R_3$$
  $R_4$   $C$   $R_3$  are used, wherein

is a bivalent radical of formula (1a<sub>1</sub>)  $*-N-(CH_2)_m-N-*$ ; a bivalent radical of formula  $R_2$ 

(1a<sub>2</sub>) 
$$\xrightarrow{R_8}$$
; or a bivalent radical of formula (1a<sub>3</sub>)  $*-N$  ;  $\xrightarrow{N-*}$ ;  $\xrightarrow{R_2}$ 

R<sub>8</sub> is hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>3</sub> is a cyano group; or -Q<sub>1</sub>-R<sub>5</sub>; and

 $R_2$ ,  $R_4$ ,  $R_5$ ,  $Q_1$  and m are defined as in claim 1.

4. Use according to claim 1, wherein compounds of formula

$$(1b) R_1 R_2 R_3 R_4$$

are used, wherein  $R_1 \ \ \text{is a trivalent radical of formula (1b_1)} \ \ ^{*-(H_2C)^-_pC^-(CH_2)^-_p*} \ ; \ \text{or} \ \ ^{(CH_2)_p}$ 

R<sub>2</sub> is hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

 $R_3$  and  $R_4$  independently from each other are a cyano group; or  $-Q_1-R_5$ ;

Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>12</sub>-;

R<sub>5</sub> is C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>9</sub> and R<sub>10</sub> independently from each other are C<sub>1</sub>-C<sub>4</sub>alkyl;

R<sub>11</sub> and R<sub>12</sub> independently from each other are hydrogen; or C<sub>1</sub>-C<sub>5</sub>alkyl; and

p is a number from 0 to 5.

5. Use according to claim 1, wherein compounds of formula

(1c) 
$$\begin{bmatrix} R_9 & R_{10} & & \\ R_1 & N & & \\ R_2 & & R_4 \end{bmatrix} \begin{bmatrix} R_{15} & & \\ R_{24} & & \\ R_{35} & & \\ R_{45} & & \\ R_{15} & & \\ R_{25} & & \\ R_{15} & & \\$$

are used, wherein

 $R_1$  and  $R_2$  are each independently of the other  $C_1$ - $C_{22}$ alkyl; or a cyano group; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>-ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

 $R_4$  is a cyano group; or  $-Q_1-R_5$ ;

n is 3; or 4;

if n = 3

R'<sub>5</sub> is a trivalent alkyl radical;

if n = 4

R'<sub>5</sub> is a tetravalent alkyl radical;

R<sub>5</sub>, R<sub>7</sub>, Q<sub>1</sub> and m are defined as in claim 1; and

R<sub>9</sub> and R<sub>10</sub> are defined as in claim 4.

6. Use of a momomeric or oligomeric compound comprising structural elements of formula

(2) 
$$*$$
  $R_2$   $R_4$ , wherein

at least one of the asterix-marked radicals may be bound to the monomeric or polymeric radical; and

R<sub>2</sub> and R<sub>4</sub> are defined as in claim 1;

as UV chromophore

in protecting human and animal hair and skin from UV radiation.

# 7. Use according to claim 6, wherein the monomeric or polymeric compound corresponds to formula

(3) 
$$H_2C = R_{14} - (R_{15})_q - (R_{16})_r - Z$$
, wherein

Z is a radical of formula (2);

R<sub>13</sub> is hydrogen; halogen; or C<sub>1</sub>-C<sub>5</sub>alkyl;

R<sub>14</sub> is -CONH-; -COO-; or a phenylene radical;

R<sub>15</sub> is C<sub>1</sub>-C<sub>20</sub>alkylene; or C<sub>6</sub>-C<sub>20</sub>arylene;

R<sub>16</sub> is -COO-; -OCO-; -CONH-; -NH-CO-O-; -NH-CO-; -SO<sub>2</sub>NH-; -NHSO<sub>2</sub>-; -SO<sub>2</sub>- or --O-;

q is 0; or an integer; and

r is 0; or an integer.

#### 8. Compounds of formula

(1') 
$$\begin{bmatrix} C & R'_3 \\ R'_1 - N & R'_2 \end{bmatrix}$$
, wherein

R'<sub>2</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R'<sub>1</sub> and R'<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m'</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

 $R'_4$  is- $Q_1$ - $R'_5$ ;

Q'<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR'<sub>6</sub>-;

R'<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R'<sub>6</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

R'<sub>7</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m' is from 3 to 7;

n' is from 2 to 4;

the cyclohexene radical C is not substituted or substituted by one or more C₁-C₅alkyl;

if n' = 2,

R'<sub>1</sub> is an alkylene- or cycloalkylene radical; or R'<sub>1</sub> and R'<sub>2</sub> simultaneously form an alkylene, cycloalkylene or phenylene radical; and simultaneously

R'<sub>3</sub> is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and simultaneously

 $R'_1$  is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m'</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

R'<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or – NR'<sub>7</sub>-groups; and simultaneously

R'<sub>3</sub> is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and simultaneously

 $R'_1$  is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R'_1$  and  $R'_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m'</sub>- ring which is optionally interrupted by -O- or by -NR'<sub>7</sub>-;

if n' = 4,

when n' = 3,

R'1 is a tetravalent alkyl group; and

R'<sub>3</sub> simultaneously is a cyano group or -Q'<sub>1</sub>-R'<sub>5</sub>; or

R'5 is an alkylene- or cycloalkylene radical; and

 $R'_1$  simultaneously is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R'_1$  and  $R'_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m'</sub>- ring which is optionally interrupted by -O- or by  $-NR'_7$ -.

#### 9. Compounds of formula

(4) 
$$\begin{bmatrix} R_1 & & \\$$

 $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or -NR<sub>3</sub>-;

R<sub>3</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m is from 3 to 7;

n is from 2 to 4;

the cyclohexene radical C is not unsubstituted or substituted by one or more  $C_1$ - $C_5$ alkyl; when n = 2,

 $R_1$  and  $R_2$  simultaneously form an alkylene, cycloalkylene or phenylene radical; when n=3,

R<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or -NR<sub>3</sub>-groups;

when n = 4,

- R<sub>1</sub> is a tetravalent alkyl group which is optionally interrupted by one or more –O- or -NR<sub>3</sub>-groups.
- 10. Use of the compounds of formula (4) according to claim 9 as UV-B absorbers in protecting human and animal hair and skin from UV radiation.
- 11. Use of the compounds of formula (4) according to claim 9 as intermediates for the preparation of UV absorbers.
- 12. A cosmetic preparation comprising at least one or more compounds of formula (1) or (3) according to claim 1 or 9 with cosmetically acceptable carriers or adjuvants.

#### Abstract of the Disclosure

Described are merocyanine derivatives of formula

(1) 
$$\begin{bmatrix} R_1 & R_2 & R_3 \\ R_2 & R_4 \end{bmatrix}$$
, wherein

 $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; or unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NH-;

 $R_4$  is a cyano group; or  $-Q_1R_5$ ;

Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>2</sub>;

R<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;

m is from 1 to 7;

n is from 1 to 4;

the cyclohexene radical C is unsubstituted or substituted by one or more C<sub>1</sub>-C<sub>5</sub>alkyl;

when n = 1

 $R_1$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; or unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a - $(CH_2)_m$ - ring which is optionally interrupted by -O- or by -NH-;

 $R_3$  is a cyano group; or -QR<sub>5</sub>;

or  $R_3$  and  $R_4$  together form a 5- to 7-membered, monocyclic, carbocyclic or heterocyclic ring; when n = 2,

R<sub>1</sub> or R<sub>5</sub> is an alkylene- or cycloalkylene radical; or R<sub>1</sub> and R<sub>2</sub> simultaneously are form an alkylene radical;

when n = 3,

 $R_1$  or  $R_5$  is a trivalent alkyl group, which is optionally interrupted by one or more -O- or -NH-; when n = 4,

R<sub>1</sub> or R<sub>5</sub> is a tetravalent alkyl group;

Which are used in protecting human and animal hair and skin from UV radiation.  $R_2$  is hydrogen;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; a cyano group; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

- $R_4$  is a cyano group; or  $-Q_1-R_5$ ;
- Q<sub>1</sub> is -COO-; -CONH-; -CO-; -SO<sub>2</sub>-; -CONR<sub>6</sub>-;
- R<sub>5</sub> is C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; or unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl-substituted C<sub>6</sub>-C<sub>20</sub>aryl;
- R<sub>6</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;
- R<sub>7</sub> is hydrogen; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl;
- m is from 3 to 7;
- n is from 2 to 4;

the cyclohexene radical C is not substituted or substituted by one or more  $C_1$ - $C_5$ alkyl; if n = 2,

- R<sub>1</sub> is an alkylene- or cycloalkylene radical; or R<sub>1</sub> and R<sub>2</sub> simultaneously form an alkylene, cycloalkylene or phenylene radical; and
- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7- membered, monocyclic carbocyclic ring;

or, alternatively

- R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and
- R<sub>1</sub> simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by –NR<sub>7</sub>-;

if n = 3,

- R<sub>1</sub> is a trivalent alkyl group, which is optionally interrupted by one or more –O- or -NR<sub>7</sub>-groups; and
- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7-membered, monocyclic carbocyclic ring;

or, alternatively

- R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and
- $R_1$  simultaneously is hydrogen; a cyano group;  $C_1$ - $C_{22}$ alkyl; cyclo- $C_3$ - $C_8$ alkyl; unsubstituted or  $C_1$ - $C_6$ alkyl- or  $C_1$ - $C_6$ alkoxy-substituted  $C_6$ - $C_{20}$ aryl; or  $R_1$  and  $R_2$  together with the nitrogen atom linking them form a -( $CH_2$ )<sub>m</sub>- ring which is optionally interrupted by -O- or by -NR<sub>7</sub>-;

if n = 4,

R<sub>1</sub> is a tetravalent alkyl group; and

- R<sub>3</sub> simultaneously is a cyano group or -Q<sub>1</sub>-R<sub>5</sub>; or R<sub>3</sub> and R<sub>4</sub> together form a 5- to 7- membered, monocyclic carbocyclic ring;
- or, alternatively
- R<sub>5</sub> is an alkylene, cycloalkylene or phenylene radical; and
- simultaneously is hydrogen; a cyano group; C<sub>1</sub>-C<sub>22</sub>alkyl; cyclo-C<sub>3</sub>-C<sub>8</sub>alkyl; unsubstituted or C<sub>1</sub>-C<sub>6</sub>alkyl- or C<sub>1</sub>-C<sub>6</sub>alkoxy-substituted C<sub>6</sub>-C<sub>20</sub>aryl; or R<sub>1</sub> and R<sub>2</sub> together with the nitrogen atom linking them form a -(CH<sub>2</sub>)<sub>m</sub>- ring which is optionally interrupted by -O- or by –NR<sub>7</sub>-;

in protecting human and animal hair and skin from UV radiation.

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